

# 硕士学位论文

椭圆上同调理论与  $\sigma$ -定向

**ELLIPTIC COHOMOLOGY THEORIES AND THE  
 $\sigma$ -ORIENTATION**

研 究 生：梁嘉诚

指 导 教 师：朱一飞

南方科技大学

二〇二四年三月



国内图书分类号：O189.23  
国际图书分类号：515.1

学校代码：14325  
密级：公开

## 理学硕士学位论文

# 椭圆上同调理论与 $\sigma$ -定向

学位申请人：梁嘉诚

指导教师：朱一飞

学科名称：基础数学

答辩日期：2024年5月

培养单位：数学系

学位授予单位：南方科技大学



Classified Index: O189.23

U.D.C: 515.1

Thesis for the degree of Master of Science

**ELLIPTIC COHOMOLOGY  
THEORIES AND THE  
 $\sigma$ -ORIENTATION**

**Candidate:** Liang Jiacheng  
**Supervisor:** Zhu Yifei  
**Discipline:** Fundamental Mathematics  
**Date of Defence:** May, 2024  
**Affiliation:** Department of Mathematics  
**Degree-Confering-  
Institution:** Southern University of Science and  
Technology



# 南方科技大学学位论文原创性声明和使用授权说明

## 南方科技大学学位论文原创性声明

本人郑重声明：所提交的学位论文是本人在导师指导下独立进行研究工作所取得的成果。除了特别加以标注和致谢的内容外，论文中不包含他人已发表或撰写过的研究成果。对本人的研究做出重要贡献的个人和集体，均已在文中作了明确的说明。本声明的法律结果由本人承担。

作者签名：

日期：

## 南方科技大学学位论文使用授权书

本人完全了解南方科技大学有关收集、保留、使用学位论文的规定，即：

1. 按学校规定提交学位论文的电子版本。
2. 学校有权保留并向国家有关部门或机构送交学位论文的电子版，允许论文被查阅。
3. 在以教学与科研服务为目的前提下，学校可以将学位论文的全部或部分内容存储在有关数据库提供检索，并可采用数字化、云存储或其他存储手段保存本学位论文。
  - (1) 在本论文提交当年，同意在校园网内提供查询及前十六页浏览服务。
  - (2) 在本论文提交  当年/\_\_ 年以后，同意向全社会公开论文全文的在线浏览和下载。
4. 保密的学位论文在解密后适用本授权书。

作者签名：

日期：

指导教师签名：

日期：





# 摘要

Ando-Hopkins-Strickland 发现了从  $MU\langle 6 \rangle$  到椭圆上同调理论的一个特殊定向，称为  $\sigma$ -定向。在本文中，我们将给出  $\sigma$ -定向的代数拓扑和代数几何前置内容。此外，我们将引入形式群和形式群上的线丛的精确定义。另外我们会特别提到如何得到  $\mathbb{E}_\infty$ -空间的  $n$ -连通覆盖上的  $\mathbb{E}_\infty$ -结构，这在一般参考文献中没有很好地描述。

在本文中，我们首先将以  $\text{fppf}$  层为基础建立一个良好的代数几何框架，该框架能扩展概形的范畴，使之包括形式概形和  $p$ -可除群。我们会在这个框架内提供形式李群和形式李簇的精确定义，从而可以考虑它们上的拟凝聚层。通过对 Thom 谱的构造进行函子化，它会成为一个左 Quillen 伴随函子，这样我们可以很方便的得到所需的  $\mathbb{E}_\infty$ -Thom 谱的结构。我们也会证明 Thom 同构是由对角余模结构产生的，并证明了将 Thom 谱函子与无限回路空间机制相结合会在  $\mathbb{E}_\infty$ -空间的  $n$ -联通覆盖上得到典范的  $\mathbb{E}_\infty$ -结构。最后，我们证明了立方结构与  $MU\langle 6 \rangle$ -定向之间的对应关系，最终得到了本文最重要的定理：椭圆上同调理论有唯一的  $MU\langle 6 \rangle$ -定向，称为  $\sigma$ -定向。

关键词：同伦论；代数拓扑；定向；代数几何；算术几何；椭圆曲线

# Abstract

Ando–Hopkins–Strickland found a special orientation from  $MU\langle 6 \rangle$  to elliptic cohomology theories, called  $\sigma$ -orientation. In this note we will give both topological and algebro-geometric settings of  $\sigma$ -orientation. Furthermore, we will introduce the precise definitions of formal groups, line bundles on a formal group, and particularly the  $n$ -connective cover of an  $\mathbb{E}_\infty$ -space, which seems not well-described in ordinary references.

Besides, we will establish a comprehensive framework for fppf sheaves that extends beyond the category of schemes to include formal schemes and  $p$ -divisible groups. We provide a precise definition of formal Lie groups and formal Lie varieties within this framework, allowing for the consideration of quasi-coherent sheaves on them. By functorializing the construction of Thom spectra and establishing it as a left Quillen adjoint, we obtain the desired  $\mathbb{E}_\infty$ -structure of a Thom spectrum. We show that the Thom isomorphism arises from a diagonal comodule structure and demonstrate that combining the Thom spectrum functor with the infinite loop space machine results in a canonical  $\mathbb{E}_\infty$ -structure on the  $n$ -connective cover of an  $\mathbb{E}_\infty$ -space. Finally, we prove the correspondence between cubical structures and  $MU\langle 6 \rangle$ -orientations, culminating in the most important theorem of this paper.

**Keywords:** Homotopy theory, Algebraic topology, Orientation, Algebraic geometry, Arithmetic geometry, Elliptic curve

# 目录

摘要	0
Abstract	0
Introduction	2
<b>1 Sites, fppf sheaves and completion</b>	<b>4</b>
1.1 Grothendieck topology . . . . .	4
1.2 Localization of topoi . . . . .	6
1.3 Completion of an fppf sheaf along a subsheaf . . . . .	7
<b>2 Formal groups and p-divisible groups</b>	<b>9</b>
2.1 Linearly topological rings . . . . .	9
2.2 Formal completion of pointed k-schemes . . . . .	11
2.3 Formal Lie varieties . . . . .	12
2.4 Formal Lie groups . . . . .	15
2.5 Barsotti-Tate groups (p-divisible groups) . . . . .	17
<b>3 Thom spectrum functor and infinite loop space machine</b>	<b>19</b>
3.1 Properties of the Thom spectrum functor . . . . .	19
3.2 Monads and Thom spectrum functor . . . . .	20
3.3 Diagonal and Thom isomorphism . . . . .	21
3.4 Infinite loop space machine . . . . .	22
3.5 The $E_\infty$ -structures of $MString$ and $MU\langle 6 \rangle$ . . . . .	24
<b>4 <math>\sigma</math>-orientation</b>	<b>27</b>
4.1 n-cocycles . . . . .	27
4.2 Even spaces . . . . .	28
4.3 The Line bundle on a formal group . . . . .	30
4.4 Cubical structure on elliptic curves . . . . .	34
4.5 Further developments . . . . .	35
<b>Conclusion</b>	<b>38</b>
<b>References</b>	<b>39</b>
<b>Acknowledgment</b>	<b>42</b>
<b>Resume and academic achievements</b>	<b>43</b>

---

## Introduction

Given a homotopy commutative ring spectrum  $E$ , Quillen [32, 33] discovered a deep connection between (homotopy) complex orientation set  $\text{Or}_h(MU, E) = \text{Hom}_{\text{CAlg}(hSp)}(MU, E)$  and formal group laws over  $E_*$ , that is we have a map of sets

$$\{\mathbf{Complex\ orientations\ over\ } E\} \rightarrow \{\mathbf{Formal\ group\ laws\ over\ } E_*\}$$

which sends an orientation  $x \in \tilde{E}^2(\mathbb{C}P^\infty)$  to a formal group law  $F(x, y) \in E^*[[x, y]]$ . The group law structure is induced by  $H$ -structure map

$$E^*[[x]] \simeq E^*(\mathbb{C}P^\infty) \rightarrow E^*(\mathbb{C}P^\infty \times \mathbb{C}P^\infty) \simeq E^*[[x, y]]$$

with  $x \mapsto F(x, y)$ .

Also, Quillen found that the orientation element  $x \in \tilde{E}^2(\mathbb{C}P^\infty)$  uniquely determines a ring spectrum map  $MU \rightarrow E$ . Formally speaking, that is a one-to-one correspondence

$$\text{Or}_h(MU, E) \xrightarrow{\cong} C^1(P_E; \mathcal{I}(0))$$

which became the cornerstone of the chromatic homotopy theory. After that, Ando–Hopkins–Strickland discovered a correspondence

$$\text{Or}_h(MU\langle 2k \rangle, E) \xrightarrow{\cong} C^k(P_E; \mathcal{I}(0))$$

between  $MU\langle 2k \rangle$ -orientations and  $n$ -cocycles when  $k \leq 3$ , which give an elegant connection between algebraic geometry and algebraic topology. By uniqueness of cubical structure on any line bundle of any abelian variety, we can endow a unique  $MU\langle 6 \rangle$ -orientation to any elliptic cohomology theory [16–18].

In this paper, we will be investigating how to get this elegant correspondence connecting algebraic geometry with algebraic topology and also give a rigorous proof. The article is divided into two parts, algebraic geometry (chapter 1 and 2) and algebraic topology (chapter 3 and 4). In the chapter 1, we will introduce basic definitions and propositions about fppf sheaves and how to take the formal completion for a pointed fppf sheaf along its basepoint.

In the chapter 2, we can see when the given fppf sheaf is given by the Yoneda presheaf of an elliptic curve, the formal completion is a formal group. And then we will investigate

---

how to use fppf sheaves to unify schemes, formal schemes and  $p$ -divisible groups. By that, we can easily generate the definition of quasi-coherent sheaves to that on a formal scheme.

For the topology part, in the chapter 3 we firstly introduce how to functorialize the construction of Thom spectra and establish it as a left Quillen adjoint in chapter 3. And then we prove that the Thom functor is compatible with operads, thus we can naturally obtain desired  $\mathbb{E}_\infty$ -rings like  $MU\langle 2k \rangle$  and  $MO\langle 2k \rangle$  respectively from a given  $\mathbb{E}_\infty$ -structure on  $BU\langle 2k \rangle$  and  $BO\langle 2k \rangle$  respectively if there exists. After that, we prove that there indeed exists a canonical  $\mathbb{E}_\infty$ -structure on both  $BU\langle 2k \rangle$  and  $BO\langle 2k \rangle$  by using infinite loop space machine and the connective cover of a spectrum to produce a natural  $\mathbb{E}_\infty$ -structure on the connective cover of an  $\mathbb{E}_\infty$ -space.

In the chapter 4, we firstly introduce how to define  $n$ -cocycles in any category with finite products. And then we introduce a technical concept called the even space, which can be easily controlled by the algebra structure on it. The fact that  $BU\langle 2k \rangle$  is not an even space when  $k > 3$  partly explains why the AHS correspondence fails when  $k > 3$ . Finally, we prove the main theorem after proving an algebro-geometric proposition that any line bundle on any abelian variety admits just a unique cubical structure.

---

# 1. Sites, fppf sheaves and completion

Grothendieck topology and topoi are an important algebro-geometric machinery for homotopists since lots of algebro-geometric objects like schemes, algebraic spaces, formal groups and  $p$ -divisible groups all can fully faithfully embed into the category of fppf sheaves.

Now, let me give an introduction to Grothendieck topology and sheaves on sites. A good reference for them is the stacks project [35].

## 1.1 Grothendieck topology

**Definition 1.1.** [35] *A site is defined by a category  $\mathcal{C}$  and a collection  $\text{Cov}(\mathcal{C}) \subset 2^{\text{Mor}(\mathcal{C})}$  consisting of families of morphisms with fixed target  $\{U_i \rightarrow U\}_{i \in I}$ , where  $I$  is a small set, referred to as coverings of  $\mathcal{C}$ . These coverings adhere to the following axioms [20]:*

- (1) *Any single isomorphism is a covering.*
- (2) *The composition of coverings is still a covering, by which it means if  $\{U_i \rightarrow U\}_{i \in I}$  are coverings for each  $i$  and  $\{V_{ij} \rightarrow U_i\}_{j \in J_i}$  is a covering, then  $\{V_{ij} \rightarrow U\}_{i \in I, j \in J_i}$  is a covering.*
- (3) *If  $\{U_i \rightarrow U\}_{i \in I}$  is a covering and  $V \rightarrow U$  is a morphism in  $\mathcal{C}$ , then  $U_i \times_U V$  exists for all  $i$  and  $\{U_i \times_U V \rightarrow V\}_{i \in I}$  is a covering.*

**Remark 1.2.** *In axiom (3) we require the existence of the fibre products  $U_i \times_U V$  for  $i \in I$ . Actually almost all sites appear in algebraic geometry have all pullbacks.*

**Example 1.3.** [Big  $\tau$  site]

*Let  $\text{Sch}$  be the category of schemes, and  $\tau \in \{\text{Zar}, \text{et}, \text{Smooth}, \text{fppf}, \text{fpqc}\}$ . Let  $T$  be a scheme. An  $\tau$  covering of  $T$  is a family of morphisms  $\{f_i : T_i \rightarrow T\}_{i \in I}$  of schemes such that  $T = \bigcup f_i(T_i)$  and each  $f_i$  is respectively*

- (1) *open immersion;*
- (2) *étale;*
- (3) *smooth;*
- (4) *flat, locally of finite presentation;*
- (5) *flat and such that for every affine open  $U \subset T$  there exists  $n \geq 0$ , a map  $a : \{1, \dots, n\} \rightarrow I$  and affine opens  $V_j \subset T_{a(j)}$ ,  $j = 1, \dots, n$  with  $\bigcup_{j=1}^n f_{a(j)}(V_j) = U$ .*

*We denote the corresponding site to be  $\text{Sch}_\tau$ . Apparently we have*

$$\text{Cov}(\text{Zar}) \subset \text{Cov}(\text{et}) \subset \text{Cov}(\text{Smooth}) \subset \text{Cov}(\text{fppf}) \subset \text{Cov}(\text{fpqc})$$

**Definition 1.4** (Presheaf). *Let  $\mathcal{C}$  be a site. The presheaf category of  $\mathcal{C}$  is just the functor category  $\text{Fun}(\mathcal{C}^{\text{op}}, \text{Set})$ . (Note  $\mathcal{C}$  is not necessarily essentially small, so  $\text{PSh}(\mathcal{C})$  is not necessarily locally small)*

**Definition 1.5** (Sheaf and topos). *A topos is defined to be a category of sheaves on a site.*

**Definition 1.6** (Sheafification). *Let  $\mathcal{J}_U$  be the category whose objects of  $\mathcal{J}_U$  are the coverings of  $U$  in  $\mathcal{C}$ , and whose morphisms are the refinements. It is worth mentioning that  $\{\text{id}_U\} \in \text{Ob}(\mathcal{J}_U)$  and hence  $\mathcal{J}_U$  is not empty. We define*

$$\mathcal{F}^+(U) = \text{colim}_{\mathcal{J}_U^{\text{op}}} H^0(\mathcal{U}, \mathcal{F})$$

*We call  $s\mathcal{F} = \mathcal{F}^{++}$  by the sheafification.*

Actually, this colimit is a direct colimit because we have the following lemma, which implies different refinements between 2 covers induce the same morphism of  $H^0$ .

Warning:  $\mathcal{J}_U$  is not necessarily a (essentially) small category, so not any presheaf on any site can be sheafified. **Actually, there exists a presheaf on  $\text{Sch}_{\text{fpqc}}$  which admits no fpqc sheafification!**

However if we remove *fpqc* and consider  $\tau \in \{\text{Zar}, \text{et}, \text{Smooth}, \text{fppf}\}$ , then all  $\mathcal{J}_U$  in  $\text{Sch}_\tau$  are essentially small and any presheaf in it can be sheafified.

**In the following context, we only consider the site whose  $\mathcal{J}_U$  are essentially small and in which all pullbacks exists. (Actually, that holds for almost all sites in algebraic geometry except for fpqc ones.)**

**Proposition 1.7** (Adjoint).  *$\text{PSh}(\mathcal{C}) \rightleftarrows \text{Sh}(\mathcal{C})$  is a pair of adjunction.*

**Proposition 1.8.** *The sheafification functor  $s : \text{PSh}(\mathcal{C}) \rightarrow \text{Sh}(\mathcal{C})$  preserves any finite limit (because the sheafification can be written as a filtered colimit of underlying sets).*

**Proposition 1.9** (Adjoint). *We denote  $\text{PAb}(\mathcal{C})$  and  $\text{Ab}(\mathcal{C})$  to be the categories of abelian presheaves and abelian sheaves on  $\mathcal{C}$  respectively. Then  $\text{PAb}(\mathcal{C}) \rightleftarrows \text{Ab}(\mathcal{C})$  is still a pair of adjunction.*

**Proposition 1.10.**  *$\text{PAbSh}(\mathcal{C})$  and  $\text{AbSh}(\mathcal{C})$  are abelian categories.*

*Proof:* First, the kernel and cokernel  $\text{PAb}(\mathcal{C})$  are created objectwise, so it is abelian. For the  $\text{AbSh}(\mathcal{C})$ , we need the following lemma.

□

**Lemma 1.11.** Consider an adjoint pair of functors  $\mathcal{C} \xrightleftharpoons[b]{a} \mathcal{D}$ , where:

- (1)  $\mathcal{C}$  and  $\mathcal{D}$  are additive categories, and  $b$  and  $a$  are additive functors.
- (2)  $\mathcal{C}$  is abelian, and  $b$  preserves finite limits.
- (3)  $b \circ a \cong id_{\mathcal{D}}$ .

Under these conditions,  $\mathcal{D}$  is also abelian.

**Remark 1.12.** By the Yoneda lemma, if a presheaf of abelian groups is representable by an object  $H$ , then  $H$  admits a natural abelian group structure.

## 1.2 Localization of topoi

In 1.2 we give some useful propositions about topoi. [8, 12, 28]

**Proposition 1.13.** Let  $\mathcal{C}$  denote a site with a Grothendieck topology in which any Yoneda presheaf is a sheaf, and consider  $U$  is an object in  $\mathcal{C}$ . By defining a covering of  $\mathcal{C}/U$  if it is a covering in  $\mathcal{C}$ , therefore we can view  $\mathcal{C}/U$  as a site.

Then we can identify  $Sh(\mathcal{C}/U)$  with  $Sh(\mathcal{C})/U$  where we consider the latter  $U$  as the Yoneda sheaf of  $U$ .

*Proof:* Actually we can give a natural categorical equivalence

$$Sh(\mathcal{C}/S') \xrightleftharpoons{\cong} Sh(\mathcal{C}/S)_{\downarrow S'}$$

for any morphism  $S' \rightarrow S$  in  $\mathcal{C}$ .

For a sheaf  $Y$  in  $Sh(\mathcal{C}/S')$  let  $Y_S$  denote the functor on  $(\mathcal{C}/S)^{op}$  sending an  $S$ -object  $T$  to the set of pairs  $(\epsilon, y)$ , where  $\epsilon : T \rightarrow S'$  is an  $S$ -morphism and  $y \in Y(\epsilon : T \rightarrow S')$  is an element. There is a natural morphism of functors  $f_Y : Y_S \rightarrow S'$  sending  $(\epsilon, y)$  to  $\epsilon$ .

Conversely, for a sheaf  $X$  in  $Sh(\mathcal{C}/S)_{\downarrow S'}$ , let  $X_{S'}$  be the functor on  $(\mathcal{C}/S')^{op}$  whose value on  $T \rightarrow S'$  is the set of morphisms  $T \rightarrow X$  in  $Sh(\mathcal{C}/S)_{\downarrow S'}$ . It is easy to show these two functorial constructions give an equivalence of categories.

□

**Remark 1.14.** (1) In algebraic geometry, this equivalence tells us  $Sh(Sch/S)_{\tau}$  is exactly the overcategory  $Sh(Sch)_{\tau} \downarrow h_S$ .

(2) This equivalence still holds even if we replace  $U$  by any sheaf  $\mathcal{F}$ .

$$Sh(\mathcal{C}/\mathcal{F}) \xrightleftharpoons{\cong} Sh(\mathcal{C})_{\downarrow \mathcal{F}}$$



Now let us focus on the big fppf site  $Sch_{fppf}$ . [13, 19, 25] Actually any representable functor is an fppf sheaf.

**Proposition 1.15.** [30] *Let  $S$  be a base scheme,  $X$  be an  $S$ -scheme, then the representable functor  $Hom_S(-, X)$  is an fppf sheaf on  $Sch/S$ .*

Now we introduce a useful equivalence. The intuition is that a sheaf is a gluing result.

**Lemma 1.16.** *Consider a site denoted by  $C$ , with  $C' \subset C$  being a full subcategory satisfying the following conditions:*

- (i) *For each  $U \in C$ , there exists a covering  $\{U_i \rightarrow U\}_{i \in I}$  of  $U$  where  $U_i \in C'$  for all  $i$ .*
- (ii) *If  $\{U_i \rightarrow U\}$  is a covering of an object  $U \in C'$ , with  $U_i \in C'$  for all  $i$ , and for any morphism  $V \rightarrow U$  in  $C'$ , the fiber products  $V \times_U U_i$  belong to  $C'$ .*

*Under these conditions, a Grothendieck topology can be defined on  $C'$  such that a collection of morphisms  $\{U_i \rightarrow U\}$  in  $C'$  is a covering if and only if it qualifies as a covering in  $C$ . Furthermore, the topos resulting from  $C'$  with this topology is equivalent to the topos derived from  $C$ .*

**Proposition 1.17.** *For any  $\tau \in \{Zar, et, Smooth, fppf\}$  (remove fpqc),  $Aff \rightarrow Sch$  induces a natural equivalence of topoi*

$$Sh(Sch)_\tau \xrightarrow{\sim} Sh(Aff)_\tau$$

*A  $\tau$ -sheaf is determined by its values on affine schemes!*

**Corollary 1.18.** *Note that any object in  $Aff_\tau$  is compact, so the sheaf condition in it is a finite limit!*

*So we get: In  $Sh(Aff)_\tau$  any filtered colimit can be created in presheaf level, which commutes with any finite limit.*

### 1.3 Completion of an fppf sheaf along a subsheaf

The most following definitions are from [26].

**Definition 1.19.** *Consider a monomorphism  $Y \subset X$  of fppf sheaves on  $Sch/S$ . We introduce  $In_{f_Y^k}(X) \subset X$ , a subsheaf defined as follows: its value on an object  $T \rightarrow S$  is given by the condition that for  $t \in X(T)$ ,  $t \in In_{f_Y^k}(X)(T)$  if there exists an fppf covering  $\{T_i \rightarrow T\}$  where each  $T_i$  corresponds to a closed subscheme  $T'_i$  defined by an ideal whose  $k+1$  power is  $(0)$ , such that  $t_{T'_i} \in X(T'_i)$  is contained in  $Y(T'_i)$ .*

This definition is somewhat general, in most cases we only involve the completion of a scheme along a subscheme.

**Example 1.20.** (1) If  $X$  and  $Y$  are  $S$ -schemes and  $Y \rightarrow U \subset X$  is an immersion, then  $\text{Inf}_Y^k(X) = \text{Inf}_Y^k(U) \simeq \text{Spec}(\mathcal{O}_U/\mathcal{I}^{k+1})$  where  $\mathcal{I} \subset \mathcal{O}_U$  is the according quasi-coherent ideal.

(2) Let  $Z \subset X$  be a closed immersion of  $S$ -schemes with the according quasi-coherent ideal  $\mathcal{I}$ , then the value of the sheaf  $\hat{X}_Z = \varinjlim_k \text{Inf}_Z^k(X) = \varinjlim_k \text{Spec}(\mathcal{O}_X/\mathcal{I}^{k+1})$  on a  $S$ -scheme  $T$  equals  $\{t \in X(T) \mid t^*(\mathcal{I}) \text{ is locally nilpotent}\}$ .

We mostly consider the case when  $Y$  is a given base point, i.e.  $Y(T) = \{*\} = h_S(T)$  for any  $S$ -scheme  $T$ . In this case we get an endfunctor  $\widehat{(-)} : \text{Sh}(\text{Sch}/S)^* \rightarrow \text{Sh}(\text{Sch}/S)^*$  by  $(X, e) \mapsto (\varinjlim_k \text{Inf}_e^k(X), e)$ , where  $\text{Sh}(\text{Sch}/S)^*$  is denoted as the category of fppf sheaves over  $S$  with a basepoint.

We say an  $X \in \text{Sh}(\text{Sch}/S)^*$  is complete (ind-infinitesimal in [26]) iff  $\hat{X} = X$ . It is easy to check we have a natural inclusion  $\hat{X} \subset X$ , and that  $\hat{X} \subset \hat{X}$  is a natural isomorphism. So any completion of a pointed fppf sheaf is complete. [5, 29, 34]

**Proposition 1.21.** (a) The endfunctor  $\widehat{(-)} : \text{Sh}(\text{Sch}/S)^* \rightarrow \text{Sh}(\text{Sch}/S)^*$  preserves finite limits. Let  $\text{CSh}(\text{Sch}/S)^*$  be the category of complete pointed fppf sheaves, so  $\text{CSh}(\text{Sch}/S)^*$  has finite limits, which are created in  $\text{Sh}(\text{Sch}/S)^*$ .

(b)  $\text{CSh}(\text{Sch}/S)^* \xrightleftharpoons[\widehat{(-)}]{\text{Forget}} \text{Sh}(\text{Sch}/S)^*$  is an adjoint pair.

(c)  $\text{CAb}(\text{Sch}/S) \xrightleftharpoons[\widehat{(-)}]{\text{Forget}} \text{Ab}(\text{Sch}/S)$  is an adjoint pair.

*Proof:* (a) It suffices to verify that  $\widehat{(-)}$  preserves the final object and pullbacks. The case of the final object is straightforward. For a pullback  $X \times_Z Y$ , we aim to demonstrate that  $\widehat{X \times_Z Y} \rightarrow \hat{X} \times_{\hat{Z}} \hat{Y}$  is naturally isomorphic. Evidently, this is a monomorphism of sheaves, and to establish it as an epimorphism is adequate. Consider  $(f, g) \in \Gamma(T, \hat{X} \times_{\hat{Z}} \hat{Y})$  where  $T$  is affine. Then, there exists a (finite) covering family  $\{T_i \rightarrow T\}$  and nilpotent immersions of order  $k$ ,  $\bar{T}_i \rightarrow T_i$  such that  $f|_{\bar{T}_i} = 0$ . Similarly, with an fppf covering family  $\{T'_j \rightarrow T\}$  and nilpotent immersions of order  $k$ :  $\bar{T}'_j \hookrightarrow T'_j$  corresponding to  $g$ .

And (b),(c) are direct corollaries of (a).

□

---

## 2. Formal groups and p-divisible groups

All (big) sheaves involved in 2 will always mean fppf sheaves.

### 2.1 Linearly topological rings

Before the introduction of formal groups, we need some preliminary knowledge of linear topological rings. In the category of linear topological rings ([37] chap 4), we have an excellent framework to deal with the completion.

**Definition 2.1.** *A filtration of ideals  $\mathfrak{J}$  in  $R$  is a non-empty collection of ideals of  $R$  such that for any pair of ideals  $I, J \in \mathfrak{J}$ , there always exists a  $I' \in \mathfrak{J}$  satisfying  $I' \subset I \cap J$ .*

**Lemma 2.2.** *Given a filtration of ideals  $\mathfrak{J}$  in  $R$ , then*

- (i)  $\{a + I | a \in R, I \in \mathfrak{J}\}$  forms a topological basis in  $R$ , and we call it the (linear) topology induced by  $\mathfrak{J}$ .
- (ii) The (linear) topology induced by  $\mathfrak{J}$  makes  $R$  become a topological ring.

Proof: Omitted.

□

**Definition 2.3.** *A linearly topological ring  $R$  is a topological ring such that the topology induced by the filtration of open ideals in  $R$  is the same as its topology.*

**Proposition 2.4.** *A topological ring induced by a filtration of ideals is a linearly topological ring (note this is not a completely trivial statement).*

**Example 2.5.** *The linear topology induced by  $\{I^n | n \geq 1\}$  for an ideal  $I \in R$  is called  $I$ -adic topology. Note if  $I = 0$ , then this topology is discrete.*

Let us denote LRings to be the category of linearly topological rings with continuous ring maps.

**Proposition 2.6.**

- (i) Given following morphisms in LRings

$$B \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} C$$

then the subring  $a = \{b \in B | f(b) = g(b)\}$  with the linear topology by filtration

$$\{J = I \cap B | I \text{ open in } B\}$$

is the equalizer in LRings.

(ii) So we conclude LRings has any limit.

Now we start to introduce the completion of linearly topological rings

**Definition 2.7.** Let  $R$  be a linearly topological ring. The completion of  $R$  is defined as the ring  $\widehat{R} = \lim_{\leftarrow I} R/I$ , where  $I$  ranges over the set of open ideals in  $R$ . There exists a natural mapping  $R \rightarrow \widehat{R}$ , and the composition  $R \rightarrow \widehat{R} \rightarrow R/I$  is surjective, implying the existence of an ideal  $\bar{I} \subset \widehat{R}$  such that  $R/I = \widehat{R}/\bar{I}$ . These ideals form a filtered system, allowing us to endow  $\widehat{R}$  with a linear topology where they serve as a base for the neighborhoods of zero. It can be readily verified that  $\widehat{\widehat{R}} = \widehat{R}$ . A ring  $R$  is considered complete, if  $R = \widehat{R}$ . Hence,  $\widehat{R}$  always represents a complete ring. We denote the category of complete rings as FRings.

**Remark 2.8.** It is important to notice that the completion  $\widehat{R}$  from an  $I$ -adic topology is not always the same as the  $I\widehat{R}$ -adic topology on  $\widehat{R}$  ! But it is the case when  $I$  is finitely generated, see [35] Algebra 96.3.

**Proposition 2.9.**

(i) A linearly topological ring with the discrete topology is always complete.

(ii) Consider  $R, S$ , and  $A$  in the category of formal rings, denoted as FRings. Suppose there are continuous homomorphisms  $R \rightarrow S$  and  $R \rightarrow A$ , then it is evident that  $\widehat{S \otimes_R A}$  can be identified as the pushout of  $S$  and  $A$  with respect to  $R$  in the category of formal rings, denoted as FLings. This observation leads us to the conclusion that the category FRings possesses finite colimits, as it contains the initial object ( $\mathbb{Z}$  with the discrete topology) and all pushouts within its structure.

(iii) Any limit in FRings exists and could be created in LRings.

**Definition 2.10.** Let  $(R, \mathfrak{m})$  be a local ring, we have a natural linear topology in  $R$  by the  $\mathfrak{m}$ -adic topology. So we get a functor: LocalRings  $\longrightarrow$  LRings. In fact this functor is fully faithful because of the following lemma, and base on that we will always treat local rings as linearly topological rings.

**Lemma 2.11.** Let  $A, B \in$  LRings. Suppose their linear topology is induced by filtrations  $\mathfrak{A}$  and  $\mathfrak{B}$  respectively. Let  $f : A \longrightarrow B$  be a ring homomorphism. Then  $f$  is continuous if and only if  $\forall J \in \mathfrak{B}$  there exists  $I \in \mathfrak{A}$  such that  $f(I) \subset J$ .

**Proposition 2.12** ([35] Algebra chap 96,97). Let  $(R, \mathfrak{m})$  be a Noetherian local ring, then

(i)  $(\widehat{R}, \widehat{\mathfrak{m}})$  is still Noetherian local, and  $\widehat{\mathfrak{m}} = \lim_{\leftarrow n} \mathfrak{m}/\mathfrak{m}^n \simeq \widehat{\mathfrak{m}}R$ .

(ii)  $(R, \mathfrak{m})$  is regular if and only if  $(\widehat{R}, \widehat{\mathfrak{m}})$  is.

(iii) The topology on the completion  $\widehat{R}$  is the same as the  $\widehat{\mathfrak{m}}$ -adic topology on it, by 2.8.

**Remark 2.13.** (i) If a local ring  $(R, \mathfrak{m})$  is not Noetherian, then  $(\widehat{R}, \widehat{\mathfrak{m}})$  is not necessarily local.

(ii) When we consider the opposite category  $\text{FRings}^{op}$  we usually write an object to be  $\text{Spf}(R)$  instead of  $R$ .

## 2.2 Formal completion of pointed $k$ -schemes

**Definition 2.14.** For a  $k$ -scheme  $X$  with a rational point  $e \in X(k)$  we call it a pointed  $k$ -scheme. The formal completion  $\widehat{X}$  of  $X$  “along”  $e$  is defined to be the complete linearly topological ring  $\text{Spf}(\widehat{\mathcal{O}_{X,e}})$ , the completion of  $\mathcal{O}_{X,e}$  by  $\mathfrak{m}$ -adic topology. This induces a functor  $\text{Sch}_k^* \xrightarrow{(-)} k\text{-FRings}^{op}$  where the left one is the category of pointed  $k$ -schemes.

**Lemma 2.15.** For a pointed  $k$ -scheme  $(X, e)$ , if  $\text{Spec}(A) \subset X$  is an affine neighborhood of  $e$ . Let  $\mathfrak{m} \subset A$  be the maximal ideal according to the closed point  $e$ , then by  $A/\mathfrak{m}^n = A_{\mathfrak{m}}/\mathfrak{m}^n$  we have  $\widehat{X} = \widehat{\mathcal{O}_{X,e}} \cong \widehat{A}$  where the right one is the  $\mathfrak{m}$ -adic completion of  $A$ .

**Theorem 2.16.** The functor  $(-)$  preserves finite limits. Particularly, it preserves finite products and hence preserves (commutative) Monoid objects, (commutative) Group objects. So it takes group  $k$ -schemes to formal group  $k$ -schemes.

*Proof:* Because any finite limit is a combination of pullbacks and terminal object, we only need to show that  $(-)$  preserves pullbacks and terminal object. The terminal object is easy to check. For the case of pullbacks, given a pullback diagram in  $\text{Sch}_k^*$  (note that the pullback in it is the same as the ordinary fiber product of schemes),

$$\begin{array}{ccc} X \times_Z Y & \longrightarrow & Y \\ \downarrow p & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

we take neighbourhoods of basepoints  $\text{Spec}(R) \subset Z, \text{Spec}(A) \subset X, \text{Spec}(B) \subset Y, \text{Spec}(A \otimes_R B) \subset X \times_Z Y$ . We write corresponding maximal ideals of basepoints  $e_X, e_Y, e_{X \times_Z Y}$  to be  $\mathfrak{m}_1, \mathfrak{m}_2, \mathfrak{m}$  respectively. It is easy to see the basepoint  $e_{X \times_Z Y}$  corresponds to  $A \otimes_R B \rightarrow k \otimes_R k = k \otimes_k k$ , so actually  $\mathfrak{m} = \mathfrak{m}_1 \otimes_R B + A \otimes_R \mathfrak{m}_2$ . By the lemma above and the description of pushout of formal rings, the natural

$$\widehat{A \otimes_R B} \rightarrow \widehat{A \otimes_R B}$$

is isomorphic, then so is

$$\widehat{\mathcal{O}}_{Y,e} \widehat{\otimes}_{\widehat{\mathcal{O}}_{Z,e}} \widehat{\mathcal{O}}_{X,e} \rightarrow \widehat{\mathcal{O}}_{X \times_Z Y, e}$$

□

It is easy to check following 2 useful propositions.

**Proposition 2.17.** (i) If  $k \rightarrow F$  is a field extension, then for any  $(X, e) \in \text{Sch}_k^*$  we have natural isomorphism  $\widehat{\mathcal{O}}_{X,e} \widehat{\otimes}_k F \rightarrow \widehat{\mathcal{O}}_{X_F, e_F}$ .

(ii) If  $k$  is a field of  $\text{char}(k) = p > 0$  and  $(X, e) \in \text{Sch}_k^*$ , then  $\widehat{\mathcal{O}}_{X,e} \rightarrow \widehat{\mathcal{O}}_{X,e}$  induced by absolute Frobenius  $F : X \rightarrow X$  is absolute Frobenius on  $\widehat{\mathcal{O}}_{X,e}$ , and  $\widehat{\mathcal{O}}_{X,e} \widehat{\otimes}_{k, \text{Frob}k} \rightarrow \widehat{\mathcal{O}}_{X,e}$  induced by relative Frobenius  $F : X \rightarrow X^{(p/k)}$  is the formal relative Frobenius on  $\widehat{\mathcal{O}}_{X,e}$ .

By Cohen structure theorem, we will see that a smooth group  $k$ -scheme of  $\dim n$  can induce a formal group over  $k$  of  $\dim n$ .

**Theorem 2.18.** If  $G$  is a smooth group  $k$ -scheme of  $\dim n$ , then  $\widehat{G}$  is a formal group over  $k$  of  $\dim n$ .

*Proof:* We know “smooth” implies “regular”, so  $\widehat{\mathcal{O}}_{G,e}$  is a complete regular local ring of  $\dim n$ . Then by the theorem above we win.

□

### 2.3 Formal Lie varieties

We have known that the equivalence of topoi  $\text{Sh}(\text{Sch})_{\text{fppf}} \longrightarrow \text{Sh}(\text{Aff})_{\text{fppf}}$ , so we will be free to exchange things from each other.

It is obvious that  $\hat{\chi} \subset \text{Sh}(\text{Aff})_{\text{fppf}}$ . Actually  $\hat{\chi}$  is the category of “formal schemes” in Strickland’s sense [37], which equals  $(\text{Pro} - \text{Ring})^{\text{op}}$  or  $\text{Ind} - \text{Aff}$ . And we have fully faithful embeddings

$$\text{FRing} \rightarrow \hat{\chi}$$

by sending  $R$  to  $\text{Spf}(R) = \varinjlim_{I \text{ open}} \text{Spec } R/I$  and natural inclusion

$$\hat{\chi} \rightarrow \text{Sh}(\text{Aff})_{\text{fppf}}$$

**Definition 2.19.** Let  $X \in \text{CSh}(\text{Sch}/S)^*$ , we call it a pointed formal Lie variety iff zariski locally on  $S$ , the  $F$  is isomorphic to  $\text{Spf}(\mathcal{O}_S[[x_1, \dots, x_n]])$  as pointed fppf sheaves for some  $n \geq 0$ .

**Proposition 2.20.** [26] Let  $X \in CSh(Sch/S)^*$ , the following are equivalent

- (1)  $X$  is a pointed formal Lie variety.
- (2) Zariski locally on  $S$ , the  $X$  is isomorphic to  $Spf(\mathcal{O}_S[[x_1, \dots, x_n]])$  as sheaves (not necessarily pointed) for some  $n \geq 0$ .
- (3)
  - (a) The  $\text{Inf}^k(X)$  is representable for all  $k \geq 0$ .
  - (b) The  $\omega_X = e^*(\Omega_{\text{Inf}^1(X)/S}) = e^*(\Omega_{\text{Inf}^k(X)/S})$  is a finite locally free sheaf on  $S$ .
  - (c) Denoting by  $gr_*^{\text{inf}}(X)$  the graded  $\mathcal{O}_S$ -algebra  $\bigoplus_{k \geq 0} \mathcal{I}_k^k$ , such that  $gr_i^{\text{inf}}(X) = gr_i(\text{Inf}^i(X))$  holds for all  $i \geq 0$ . We have an isomorphism  $\text{Sym}_S(\omega_X)_* \xrightarrow{\sim} gr_*^{\text{inf}}(X)$  induced by the canonical mapping  $\omega_X \xrightarrow{\sim} gr_1^{\text{inf}}(X)$ .

**Proposition 2.21.** Let  $X \rightarrow S$  be a smooth  $S$ -scheme with a base point  $e : S \rightarrow X \in X(S)$ , then  $\hat{X}$  is a formal Lie variety.

*Proof:* Select an affine open set  $U$  containing  $s$  within  $S$ . Choose another affine open set  $V$  in  $f^{-1}(U)$  that includes  $x$ . Subsequently, select an affine open set  $U'$  in  $e^{-1}(V)$  that contains  $s$ . It is noteworthy that  $V' = f^{-1}(U') \cap V$  is affine due to its representation as the fiber product  $V' = U' \times_U V$ . Consequently, the maps  $f : U' \rightarrow V'$  and  $e : V' \rightarrow U'$  are identified as separated, smooth, and a section (specifically, a closed immersion). This leads to the result that  $\hat{X}_{V'} = \hat{U}'_{V'}$ . The proposition can be readily derived from the subsequent lemma. □

**Lemma 2.22.** [35](Algebra 139.4) Consider a smooth ring morphism  $\varphi : R \rightarrow S$  with a left inverse  $\sigma : S \rightarrow R$  where  $I = \text{Ker}(\sigma)$ . Then the following results hold:

- (1) The quotient module  $I/I^2$  is a finitely generated projective  $R$ -module.
- (2) If  $I/I^2$  is a free  $R$ -module, then there is an isomorphism between the completion  $S^\wedge$  with respect to the  $I$ -adic topology and  $R[[t_1, \dots, t_d]]$  as  $R$ -linear topological rings.

*Proof:* Utilizing the exact sequence of Kahler differentials for  $R \rightarrow S \rightarrow R$ , we obtain  $I/I^2 = \Omega_{S/R} \otimes_{S, \sigma} R$ . Since the module  $\Omega_{S/R}$  is finitely generated projective over  $S$  due to the smoothness of the morphism, we establish the validity of (1).

In the case where  $I/I^2$  is free, consider the induced map  $\Psi_n : P/J^n \rightarrow S/I^n$  for quotient rings. As  $S/I^2 = \varphi(R) \oplus I/I^2$ , the map  $\Psi_2$  is an isomorphism. Let  $\sigma_2 : S/I^2 \rightarrow P/J^2$  be the inverse of  $\Psi_2$ . By induction, we show the existence of an inverse  $\sigma_n : S/I^n \rightarrow P/J^n$  for all  $n > 2$  by the fact that  $S$  is formal smooth over  $R$ . This concludes the proof of the lemma. □

Actually, any formal Lie variety on an affine base can be from the completion of a pointed smooth scheme, as the following.

**Proposition 2.23.** *Let  $X \in CSh(Sch/S)^*$  be a formal Lie variety. If  $S = \text{Spec}(R)$  is affine, then we have a (non-canonical) isomorphism  $X \rightarrow \text{Spf}(\widehat{\text{Sym}}_S(\omega_X))$  as pointed sheaves.*

*Proof:* Let  $I_k \subset \mathcal{O}_X$  be the quasi coherent ideal according  $S \rightarrow \text{inf}^k X$ , and  $I \rightarrow \omega_X \rightarrow 0$  be the projection of  $R$ -modules. Then we can lift following arrows one-by-one

$$\begin{array}{c}
 \dots \\
 \downarrow \\
 I_2 \\
 \downarrow \\
 I_1 \\
 \downarrow \\
 I_0 \\
 \downarrow \\
 \omega_X
 \end{array}
 \begin{array}{c}
 \nearrow \\
 \nearrow \\
 \nearrow \\
 \text{---}
 \end{array}$$

Hence we get a sequence of isomorphisms

$$\begin{array}{ccc}
 \dots & \xrightarrow{\cong} & \dots \\
 \downarrow & & \downarrow \\
 \text{Sym}(\omega_X)/(\omega_X^{k+1}) & \xrightarrow{\cong} & \mathcal{O}_{\text{inf}^k X} \\
 \downarrow & & \downarrow \\
 \text{Sym}(\omega_X)/(\omega_X^k) & \xrightarrow{\cong} & \mathcal{O}_{\text{inf}^{k-1} X} \\
 \downarrow & & \downarrow \\
 \dots & \xrightarrow{\cong} & \dots
 \end{array}$$

which induces an isomorphism  $X \rightarrow \text{Spf}(\widehat{\text{Sym}}_S(\omega_X))$ .

□

**Remark 2.24.** *It is worth noting this theorem is based on the fact that a finite locally free sheaf on  $S$  is a projective object in  $\text{Qcoh}(S)$  if  $S$  is affine.*

**Corollary 2.25.** *Let  $X \in CSh(Sch/S)^*$  be a formal Lie variety ( $S$  here is not necessarily assumed to be affine), then  $X$  is a formally smooth fppf sheaf, which means  $X(\text{Spec}(A)) \rightarrow X(\text{Spec}(A/I))$  is surjective for any  $A \rightarrow A/I$  over  $S$  with a square-zero ideal  $I$ .*

*Proof:* To show that  $X(\text{Spec}(A)) \rightarrow X(\text{Spec}(A/I))$  is surjective, we can assume  $S = \text{Spec}(A)$  is affine. Then it is from the completion of a pointed smooth  $S$ -scheme  $Y =$



$\text{Spec}(\text{Sym}_S(\omega_X))$  by the proposition above. So it suffices to show the following is a pullback diagram of sets.

$$\begin{array}{ccc} \hat{Y}(\text{Spec}(A)) & \longrightarrow & \hat{Y}(\text{Spec}(A/I)) \\ \downarrow i & & \downarrow i \\ Y(\text{Spec}(A)) & \longrightarrow & Y(\text{Spec}(A/I)) \end{array}$$

Let  $u \in \hat{Y}(\text{Spec}(A/I))$ , then  $u \in Y(\text{Spec}(A/I))$  is from an element  $v \in Y(\text{Spec}(A))$  by the formal smoothness of  $Y$ . Now we claim  $v \in \hat{Y}(\text{Spec}(A))$ .

There exists  $n \geq 1$  such that  $u : \text{Spec}(A/I) \rightarrow Y$  factors through  $u : \text{Spec}(A/I) \rightarrow \text{inf}^k(Y)$  since  $u \in \hat{Y}(\text{Spec}(A/I))$ , then  $u|_{\text{Spec}(A/I+J)} = 0$  for some nilpotent ideal  $J$ . So  $v \in \hat{Y}(\text{Spec}(A))$  by the fact  $I+J$  is still nilpotent.

□

## 2.4 Formal Lie groups

**Definition 2.26.** A formal Lie group is an abelian sheaf  $X \in \text{Ab}(\text{Sch}/S)$  whose underlying pointed sheaf is a formal Lie variety.

We more care about 1-dim formal Lie groups, which are called by “formal group” in most references. In 2.4 we will show that formal groups over an affine basis are equivalent to graded formal group laws on an even weakly periodic graded ring.

**Definition 2.27 (EWP).** A graded ring  $R_*$  is called EWP (even weakly periodic) iff it satisfies following conditions

- (a)  $R_2 \otimes_{R_0} R_{-2} \rightarrow R_0$  is isomorphic;
- (b)  $R_1 = 0$ .

**Proposition 2.28.** From the definition, for an EWP ring  $R_*$  we immediately get

- (1)  $R_2 \otimes_{R_0} R_n \rightarrow R_{n+2}$  is isomorphic for any  $n \in \mathbb{Z}$ .
- (2)  $R_{\text{odd}} = 0$ .
- (3)  $R_2 \in \text{Pic}(R_0)$  with  $(R_2)^{\otimes -1} = R_{-2}$ .

*Proof:* We can directly check  $R_* \simeq R[x^{\pm 1}]$ ,  $|x| = 2$  zariski locally on  $\text{Spec}(R)$  and check these properties zariski locally.

□

**Example 2.29.** Let  $R$  be a ring, and  $L \in \text{Pic}(R)$ . Then  $\text{Sym}_R(L^{\pm 1})_* = \bigoplus_{i \in \mathbb{Z}} L^{\otimes i}$  is an EWP ring.

Now let us calculate the data of a formal group.

**Lemma 2.30.** *For any  $M, N \in \text{Qcoh}(S)$ , we have*

$$\text{Hom}_{\text{Sh}(S)^*}(\text{Spf}(\widehat{\text{Sym}}_S(M)), \text{Spf}(\widehat{\text{Sym}}_S(N))) = \prod_{i=1}^{+\infty} \text{Hom}_{\mathcal{O}_S\text{-Mod}}(N, \text{Sym}_i(M))$$

*Proof:* Directly calculate by 1.21. □

**Corollary 2.31.** *Let  $X, Y \in \text{CSh}(\text{Sch}/S)^*$  be a pointed formal Lie variety of  $\dim = 1$  over an affine base  $S = \text{Spec}(R)$ , then*

(1)  $\text{Hom}_{\text{Sh}(S)^*}(X \times X, X) \simeq \prod_{(i,j)|i+j \geq 1} \text{Hom}_{\mathcal{O}_S\text{-Mod}}(\omega_X, \omega_X^{i+j}) = \prod_{(i,j)|i+j \geq 1} \omega_X^{i+j-1}$  where  $\text{Sh}(S)^*$  denotes pointed fppf sheaves over  $S$ . So any  $F \in \text{Hom}_{\text{Sh}(S)^*}(X \times X, X)$  corresponds an element  $F(x, y) \in R_*[[x, y]]$ ,  $|x| = |y| = -2$  where  $R_* = \text{Sym}_R(\omega_X^{\pm 1})_*$ .

If it satisfies the associated (commutative) law then it coincides with a graded formal (commutative) group law on the EWP ring  $\text{Sym}_R(\omega_X^{\pm 1})_*$  or on  $\text{Sym}_R(\omega_X)_*$ .

(2) We have  $\text{Hom}_{\text{Sh}(S)^*}(X, Y) = \prod_{i=1}^{+\infty} \text{Hom}_{\mathcal{O}_S\text{-Mod}}(\omega_Y, \omega_X^i)$  and

$$\text{Isom}_{\text{Sh}(S)^*}(X, Y) = \text{Isom}_{\mathcal{O}_S\text{-Mod}}(\omega_Y, \omega_X) \times \prod_{i=2}^{+\infty} \text{Hom}_{\mathcal{O}_S\text{-Mod}}(\omega_Y, \omega_X^i) =$$

$$\text{Isom}_{\mathcal{O}_S\text{-Mod}}(\omega_Y, \omega_X) \times \prod_{i=2}^{+\infty} \omega_X^{i-1} = \text{Isom}_{\mathcal{O}_S\text{-Mod}}(\omega_Y, \omega_X) \times \prod_{i=1}^{+\infty} \omega_X^i$$

**Theorem 2.32.** *Let  $p : \mathcal{M}_{\text{FGL}_s(\text{EWP})} \rightarrow \text{Aff}$  be the moduli stack of formal group laws on EWP rings whose objects are pairs  $(E_*, F)$  with  $F$  a formal group law on  $E_*$ , whose morphisms are (oppositely) pairs  $(\phi, f)$  with  $\phi : E_{1*} \rightarrow E_{2*}$  a morphism of graded rings and  $f : \phi^*F_1 \xrightarrow{\sim} F_2$  an isomorphism of formal group laws on  $E_{2*}$ . And  $p(E_*, F) = \text{Spec}(E_0)$ .*

Then The construction in last corollary actually gives an equivalence of moduli stacks

$$\begin{array}{ccc} \mathcal{M}_{\text{FG}} & \xrightarrow{\sim} & \mathcal{M}_{\text{FGL}_s(\text{EWP})} \\ & \searrow & \swarrow \\ & \text{Aff} & \end{array}$$

**Remark 2.33.** *This theorem provides a natural **graded** structure to a 1-dim formal group over an affine base, which is important when we consider the Landweber exact theorem.*

## 2.5 Barsotti-Tate groups ( $p$ -divisible groups)

**Definition 2.34.** A Barsotti-Tate group over a base scheme  $S$  is an fppf abelian sheaf  $G$  in  $\text{Ab}(\text{Sch}/S)$  satisfying the following conditions:

- (1)  $\varinjlim_n G[p^n] \rightarrow G$  is naturally isomorphic. ( $p$ -torsion)
- (2)  $G \xrightarrow{p} G$  is an epimorphism of abelian sheaves. ( $p$ -divisible).
- (3)  $G[p^n]$  is representable by a scheme finite locally free over  $S$  for any  $n \geq 1$ .

**Lemma 2.35.** Let  $G$  be an abelian fppf sheaf over  $S$  satisfying (1) and (2). Then for any  $m, n \geq 0$  we have a short exact sequence of abelian sheaf

$$0 \rightarrow G[p^n] \rightarrow G[p^{m+n}] \xrightarrow{p^n} G[p^m] \rightarrow 0$$

So by fppf descent theory of finite group schemes [10], the (3) in the definition can be replaced by the following

- (3)'  $G[p]$  is representable by a scheme finite locally free over  $S$ .

**Proposition 2.36.** If  $G_0 \rightarrow G_1 \rightarrow \dots \rightarrow G_n \rightarrow \dots$  be an sequence of morphisms of abelian sheaves over  $S$  satisfying the following conditions:

- (1)  $G_i$  is a scheme finite locally free of degree  $p^{hi}$  over  $S$ , where  $h \geq 0$  is a number independent on  $i$ ;
- (2)  $G_n \rightarrow G_{n+1}$  is a closed immersion for any  $n \geq 0$ ;
- (3)  $0 \rightarrow G_n \rightarrow G_{n+1} \xrightarrow{p^n} G_{n+1}$  is exact for any  $n \geq 0$ ,

then  $G = \varinjlim G_n$  is a Barsotti-Tate group over  $S$ , and  $G[p^n] = G_n$  for every  $n \geq 0$ .

*Proof:* The condition (3) implies  $G_{n+1}[p^n] = G_n$ , by induction we get  $G_{n+m}[p^n] = G_n$ , and hence  $G[p^n] = G_n$  and  $G = \varinjlim_n G[p^n]$ .

On the other hand we get a new exact sequence  $0 \rightarrow G_n \rightarrow G_{m+n} \xrightarrow{p^n} G_m$ . We claim  $G_{m+n} \xrightarrow{p^n} G_m$  is epimorphic. By fppf descent theory, we have a factorization

$$\begin{array}{ccc} G_{m+n} & \xrightarrow{p^n} & G_m \\ & \searrow & \nearrow i \\ & & G_{m+n}/G_n \end{array}$$

where  $G_{m+n}/G_n$  is a finite locally free group of degree  $p^{mi}$  over  $S$  and  $i$  is a monomorphism. However, any proper monomorphism is a closed immersion. So  $i$  is a closed immersion between finite locally free schemes of the same degree over  $S$ , and hence an isomorphism.

Let  $n = 1$ , we get  $G_{m+1} \xrightarrow{p} G_m \rightarrow 0$ . Therefore take the direct colimit about  $m$  we get

$$G \xrightarrow{p} G \rightarrow 0.$$

□

**Remark 2.37.** *Actually, the proposition above is a local definition of the Barsotti-Tate group. Because for any BT group  $G$  and  $s \in S$ ,  $G[p]_s = G_s[p]$  is annihilated by  $p$ , which implies its rank must be  $p^{h_s}$  for some number  $h_s$  by the theory of algebraic groups.*

---

### 3. Thom spectrum functor and infinite loop space machine

Before getting into the  $\sigma$ -orientation we introduce two important topological settings which are infinite loop space machine and Thom spectrum functor respectively.

Here we only consider Thom spectra from a map into a classifying space of some topological **group**, from which Thom spectra admit more useful properties compared with those from a topological monoid.

**Definition 3.1** ([11] Thom spectrum functor). *Let  $(f : X \rightarrow BO) \in Top_{\downarrow BO}$ , then the standard filtration  $X_V = f^{-1}(BO(V))$  gives a Thom prespectrum*

$$M_p(f)(V) = Th(E(X_V) \rightarrow X_V) = E(X_V)_+ \wedge_{O(V)_+} S^V$$

*The spectrification  $M(f)$  of  $M_p(f)$  is called the Thom spectrum corresponding  $f$ .*

**Remark 3.2.** (i) *Actually, any filtration  $\varinjlim_{V \subset \mathbb{R}^\infty} F_V X = X$  where  $F_V X$  is closed subset in  $X$  so that  $F_V X \subset X_V$  gives the same [11] Thom spectrum (though not the same prespectra). (ii) For  $G = Sp(\infty), U(\infty), SU(\infty), O(\infty), SO(\infty)$ , the construction above also applies.*

#### 3.1 Properties of the Thom spectrum functor

For any spectrum  $E \in Sp$  and any  $V \subset \mathbb{R}^\infty$ ,  $\Omega^\infty E$  admits a right  $O(V)$ -action since  $\Omega^\infty E = E_0 = \Omega^V E_V = F(S^V, E_V)$ . These actions are coherent between different  $V$ , so we actually get a right  $O$ -action on  $\Omega^\infty E$ . [10, 31, 39]

In the following content we always assume  $G = Sp(\infty), U(\infty), SU(\infty), O(\infty)$  or  $SO(\infty)$ .

**Theorem 3.3.** *The Thom spectrum functor induces a continuous adjoint pair*

$$Top_{\downarrow BG} \quad \begin{array}{c} M(-) \\ \rightleftarrows \\ EG \times_G \Omega^\infty(-) \end{array} \quad Sp$$

*Given a map  $(f : X \rightarrow BG) \in \mathcal{U}/BG$  and  $E \in Sp$ , then*

$$\text{Hom}_{Sp}(Mf, E) = \text{Hom}_{\mathcal{U}[G]}(f^* EG, \Omega^\infty E) = \text{Hom}_{\mathcal{U}/BG}(X, EG \times_G \Omega^\infty E)$$

*Proof:* Let us denote  $\mathcal{U}$  and  $\mathcal{S}$  to be the categories of unbased Topological spaces, and spectra respectively. First we have

$$\text{Hom}_{\mathcal{S}}(MX, E) = \text{Hom}_{\mathcal{S}}(\text{colim}_V MX_V, E) = \lim_V \text{Hom}_{\mathcal{S}}(MX_V, E)$$

Second we define  $EX_V$  and  $Z(V)$  by pullback diagrams,

$$\begin{array}{ccc} EX_V & \longrightarrow & B(*, G(V), G(V)) & Z_V & \longrightarrow & B(*, G(V), G) \\ \downarrow & & \downarrow & \downarrow & & \downarrow \\ X_V & \longrightarrow & B(*, G(V), *) & X_V & \longrightarrow & B(*, G(V), *) \end{array}$$

then

$$\begin{aligned} \lim_V \text{Hom}_{\mathcal{S}}(MX_V, E) &= \lim_V \text{Hom}_{\mathcal{U}_*}(EX_{V+} \wedge_{G(V)} S^V, E_V) = \lim_V \text{Hom}_{\mathcal{U}_*[G_{V+}]}(EX_{V+}, \Omega^V E_V) \\ &= \lim_V \text{Hom}_{\mathcal{U}[G_V]}(EX_V, \Omega^\infty E) = \lim_V \text{Hom}_{\mathcal{U}[G]}(EX_V \times_{G_V} G, \Omega^\infty E) = \lim_V \text{Hom}_{\mathcal{U}[G]}(Z_V, \Omega^\infty E) = \\ &= \text{Hom}_G(p^* X, \Omega^\infty E) \end{aligned}$$

Since equivariant maps from a principle  $G$ -bundle to a  $G$ -space are equivalent to the following sections, we can conclude

$$\text{Hom}_G(p^* X, \Omega^\infty E) = \text{Hom}_{\mathcal{U}/X}(X, p^* X \times_G \Omega^\infty E) = \text{Hom}_{\mathcal{U}/BG}(X, EG \times_G \Omega^\infty E)$$

□

**Proposition 3.4.** *This adjunction  $\text{Top}_{\downarrow BG} \xrightleftharpoons[\text{EG} \times_G \Omega^\infty(-)]{M(-)} \text{Sp}$  is actually a Quillen adjunction since  $M(S^{n-1} \rightarrow D^n)$  is a cell pair of spectra and  $M(D^n \times 0 \rightarrow D^n \times I)$  is a weak equivalent cell pair for those morphisms over  $BG$ .*

**Proposition 3.5.** *Let  $f : X \rightarrow BG$  be a map and  $A$  a space. Let  $g$  be the composite  $X \times A \rightarrow X \rightarrow BG$ , where the first map is the projection away from  $A$ . Then  $T(g) = A_+ \wedge T(f)$ , which implies Thom spectrum functor preserves tensors, and hence is a topological Quillen functor.*

**Proposition 3.6.** *Thom spectrum functor  $T(-)$  preserves weak equivalences. Any Thom spectrum  $T(f)$  from a map  $F : X \rightarrow BG$  is  $(-1)$ -connective.*

### 3.2 Monads and Thom spectrum functor

**Proposition 3.7.** *Let  $\mathcal{V}_1, \mathcal{V}_2$  be two real universes.*

(i) *Given maps  $B \rightarrow \mathcal{L}(V_1, V_2)$  and  $f : X \rightarrow BO(\mathcal{V}_1)$ , denote  $g$  to be the composition  $B \times X \rightarrow B \times BO(\mathcal{V}_1) \rightarrow BO(\mathcal{V}_2)$ . Then we have the natural isomorphism  $T(g) \cong B \times T(f)$ .*

(ii) *Given maps  $f : X \rightarrow BO(\mathcal{V}_1)$  and  $g : Y \rightarrow BO(\mathcal{V}_2)$ , denote  $f \times g$  to be the composition  $X \times Y \rightarrow BO(\mathcal{V}_1) \times BO(\mathcal{V}_2) \rightarrow BO(\mathcal{V}_1 \oplus \mathcal{V}_2)$ . Then  $T(f \times g) \cong T(f) \bar{\wedge} T(g)$ .*

**Proposition 3.8.** *Let  $\mathcal{L}(n) = \mathcal{L}(\mathbb{R}^{\infty \times n}, \mathbb{R}^\infty)$ , then for any map  $f : X \rightarrow BO$  we have*

$$T(g) = \bigvee_{n \geq 0} \mathcal{L}(n) \times_{\Sigma_n} T(f)^{\wedge n}$$

where  $g$  is the composition  $\bigsqcup_{n \geq 0} \mathcal{L}(n) \times_{\Sigma_n} X^n \rightarrow \bigsqcup_{n \geq 0} \mathcal{L}(n) \times_{\Sigma_n} BO^n \rightarrow BO$ .

Now we introduce a quite useful lemma [6] which tells how to get the adjoint functor between monadic algebra categories.

**Lemma 3.9.** *Let  $\mathcal{C}$  and  $\mathcal{D}$  be topological powered and copowered categories, and  $\mathbb{A} : \mathcal{C} \rightarrow \mathcal{C}$  and  $\mathbb{B} : \mathcal{D} \rightarrow \mathcal{D}$  be continuous monads. Given a continuous functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  which is coherent with the monad structure, therefore it yields a functor  $F : \mathcal{C}[\mathbb{A}] \rightarrow \mathcal{D}[\mathbb{B}]$ .*

*If  $F : \mathcal{C} \rightarrow \mathcal{D}$  is left adjoint functor preserving copowers, and the monads  $\mathbb{A}$  and  $\mathbb{B}$  preserve reflexive coequalizers, then  $F : \mathcal{C}[\mathbb{A}] \rightarrow \mathcal{D}[\mathbb{B}]$  is still a left adjoint functor preserving copowers.*

**Corollary 3.10.** *Thom spectrum functor induces topological Quillen adjoint pairs*

$$Top[\mathcal{L}(1)]_{\downarrow BO} \rightleftarrows Sp[\mathcal{L}(1)] \quad \text{and} \quad Top[E_\infty]_{\downarrow BO} \rightleftarrows Sp[E_\infty]$$

where the  $\mathcal{L}(1)$ -spectrum is the  $\mathbb{L}$ -spectrum in EKMM [9] sense.

**Remark 3.11.** *This section 3.2 also applies to  $G = U(\infty)$  or  $G = Sp(\infty)$  if we replace real isometries operad by complex or symplectic isometries operads.*

### 3.3 Diagonal and Thom isomorphism

**Definition 3.12** (coaction). *For any map  $f : X \rightarrow BG$ , the diagonal induces a coaction  $X \rightarrow X \times X$  in  $Top_{\downarrow BG}$ , where  $X \times X \rightarrow BG$  is the projection of the second variable. It gives a natural coaction on Thom spectra:  $Mf \rightarrow X_+ \wedge Mf$ .*

**Definition 3.13** (Thom morphism [11]). *With the same hypothesis above, given a homotopy commutative phantom ring spectrum (a commutative monoid in  $Ho(Sp)/\text{phantoms}$ )  $E$  and a morphism of spectra  $Mf \rightarrow E$  we have a natural morphism  $E \wedge Mf \rightarrow E \wedge X_+ \wedge Mf \rightarrow E \wedge X_+ \wedge E \rightarrow E \wedge X_+$  in  $Ho(Sp)/\text{phantoms}$ . It induces a natural homological morphism  $\phi_f : E_*(Mf) \rightarrow E_*(X)$ .*

Under certain condition  $\phi_f$  will be an isomorphism, which is called Thom isomorphism.

**Theorem 3.14** (Thom isomorphism). *Let  $G = Sp(\infty), U(\infty), SU(\infty), O(\infty), SO(\infty)$  or  $Spin(\infty)$ . Let  $E$  be a homotopy commutative ring (phantom) spectrum.*

(i) Given a phantom ring spectrum morphism  $MG \rightarrow E$ , then for any map  $X \rightarrow BG$  the Thom morphism  $E_*(Mf) \rightarrow E_*(X)$  is an isomorphism.

Moreover, if  $X$  is  $E_\infty$  and  $f$  is an  $E_\infty$  map, then  $E_*(Mf) \rightarrow E_*(X)$  is an isomorphism of  $E_*$ -algebras.

(ii) Given an  $E_\infty$  space  $X$  and an  $E_\infty$  map  $f : X \rightarrow BG$ . Let  $Mf \rightarrow E$  be a phantom ring spectrum morphism. If  $X$  is 0-connected, then  $E_*(Mf) \rightarrow E_*(X)$  is an isomorphism of  $E_*$ -algebras.

**Example 3.15.** Let  $MO \rightarrow H\mathbb{Z}/2$  and  $MU \rightarrow H\mathbb{Z}$  be ring spectrum morphisms from the 0-th postnikov tower. Then we have natural Thom isomorphisms  $H_*(MO; \mathbb{Z}/2) \rightarrow H_*(BO; \mathbb{Z}/2)$  and  $H_*(MU) \rightarrow H_*(BU)$ .

### 3.4 Infinite loop space machine

Now we turn to the infinite loop space machine, which is an important technique in stable homotopy theory.

**Definition 3.16.** (1). A commutative  $H$ -space space  $X$  i.e. a commutative monoid in  $Ho(Top)$  is called group-like iff the monoid  $\pi_0(X)$  is a group.

(2). We define group-like  $E_\infty$ -spaces as infinite loop spaces.

(3). Let  $X \rightarrow Y$  be an  $H$ -map between commutative  $H$ -spaces, we call it the completion map of  $X$  iff  $\pi_0(Y)$  is a group and  $H_*(X)[(\pi_0 X)^{-1}] \rightarrow H_*(Y)$  is isomorphic.

Now let me introduce the existence and uniqueness of additive infinite loop space machine. [27, 30, 36]

**Theorem 3.17** (Additive infinite loop space machine [1]). Let  $C$  be a cofibrant unital  $E_\infty$  operad in  $Top$  and  $f : C_* \rightarrow \Omega^\infty \Sigma^\infty$  be a morphism of monads on  $Top_*$ . Then the Quillen pair  $(\Sigma^f, \Omega^f)$  induces a equivalence of categories if we restrict it to the following  $Top$ -enriched subcategories (so actually an equivalence of  $\infty$ -categories)

$$\text{group-like } Ho(E_\infty\text{-spaces}) \simeq (-1)\text{-connective } Ho(Sp)$$

where  $\Sigma^f(-) = \Sigma^\infty \otimes_{C_*} (-)$  is the coequalizer of the following diagram in  $Sp$

$$\begin{array}{ccc} \Sigma^\infty C_* X & \xrightleftharpoons{\Sigma^\infty \mu} & \Sigma^\infty X \longrightarrow \Sigma^f X \\ & \searrow & \nearrow \\ & \Sigma^\infty \Omega^\infty \Sigma^\infty X & \end{array}$$

And  $\Omega^f X = \Omega^\infty X$  is endowed with the  $C_*$ -action  $C_* \Omega^\infty X \rightarrow \Omega^\infty \Sigma^\infty \Omega^\infty X \rightarrow \Omega^\infty X$ .



**Theorem 3.18** (Uniqueness of additive infinite loop space machine [23]). *We define an (additive) infinite loop space machine to be an adjoint pair  $(F, G)$*

$$Ho(E_\infty\text{-spaces}) \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array} (-1)\text{-connective } Ho(Sp)$$

such that

(1) *The composition  $(-1)$ -connective  $Ho(Sp) \xrightarrow{G} Ho(E_\infty\text{-spaces}) \rightarrow CMon(Ho(Top_*))$  is equivalent to  $\Omega^\infty$ ;*

(2) *For any  $X \in Ho(E_\infty\text{-spaces})$ ,  $X \rightarrow GF(X)$  is a group completion, which means  $\pi_0 GF(X)$  is a group and  $H_*(X)[(\pi_0 X)^{-1}] \rightarrow H_* GF(X)$  is isomorphic.*

Now, if  $(F_1, G_1)$  and  $(F_2, G_2)$  are two infinite loop space machines, then there exists a natural equivalence between  $F_1$  and  $F_2$ .

**Remark 3.19.** *The existence of an additive infinite loop space machine  $(F, G)$  implies that for any group-like  $E_\infty$ -space  $X$ , the induced pointed  $H$ -space is actually an  $H$ -group because  $X \cong \Omega^\infty FX$  in  $CMon(Ho(Top_*))$  and  $\Omega^\infty FX$  is a pointed  $H$ -group.*

Furthermore, beyond the additive, there exists multiplicative infinite loop space machine as the following constructed by May:

**Theorem 3.20** ([24] Multiplicative infinite loop space machine). *Let  $K$  be the Steiner  $E_\infty$  operad. We can construct a explicit morphism of monads  $f : K_* \rightarrow \Omega^\infty \Sigma^\infty$  on  $Top_*$ , which further induces a morphism of monads on  $Top_*[\mathcal{L}_+]$  where  $\mathcal{L}$  is the real linear isometries operad. Then the Quillen pair  $(\Sigma_m^f, \Omega_m^f)$  induces a equivalence of categories if we restrict it to the following subcategories (enriched in  $Ho(Top)$ .)*

$$\text{ring-like } Ho(E_\infty\text{-ring spaces}) \rightleftharpoons (-1)\text{-connective } Ho(E_\infty\text{-Sp})$$

where  $E_\infty$ -ring spaces means  $(Top_*[\mathcal{L}_+])[K_*]$  and “ring like” means it is group-like after forgetting in  $Top_*[K_*]$ . The  $\Sigma_m^f(-) = \Sigma^\infty \otimes_{K_*} (-)$  here should be the coequalizer of the following diagram in  $Sp[\mathcal{L}]$  instead of in  $Sp$  in the additive case.

$$\begin{array}{ccc} \Sigma^\infty K_* X & \xrightleftharpoons{\Sigma^\infty \mu} & \Sigma^\infty X \longrightarrow \Sigma_m^f X \\ & \searrow & \nearrow \\ & \Sigma^\infty \Omega^\infty \Sigma^\infty X & \end{array}$$

And  $\Omega_m^f X = \Omega^\infty X$  is endowed with the  $K_*$ -action  $K_* \Omega^\infty X \rightarrow \Omega^\infty \Sigma^\infty \Omega^\infty X \rightarrow \Omega^\infty X$ .

**Remark 3.21.** (1) *Note that for a unital operad  $C$  on  $Top$ , the  $C_*$  and  $C_+$  are different*

constructions of operads on  $Top_*$ . The  $C_+$  is added to an extra base point, while the  $C_*(X)$  for an  $X \in Top_*$  is defined as the following pushout diagram in  $Top[C]$ , which makes  $C_*(X)$  become an object in  $Top_*$  by  $C(\emptyset) = * \rightarrow C_*(X)$ .

$$\begin{array}{ccc} C(*) & \longrightarrow & C(\emptyset) = * \\ \downarrow & & \downarrow \\ C(X) & \longrightarrow & C_*(X) \end{array}$$

(2) An  $E_\infty$ -ring space, i.e. an object in  $(Top_*[\mathcal{L}_+])[K_*]$ , can induce an additive monoid in  $(Ho(Top_*), \times)$  and a multiplicative monoid in  $(Ho(Top_*), \wedge)$ , i.e. a semi-ring object in  $(Ho(Top_*), \times, \wedge)$ .

### 3.5 The $E_\infty$ -structures of $MString$ and $MU \langle 6 \rangle$

Let  $bu$  the connective complex  $K$ -theory. By strategy of [22],  $bu = L\Sigma_m^f(\bigsqcup_{i \geq 0} BU(i))$  3.17 which means  $bu$  is a connective  $E_\infty$ -ring and  $bu^* = \mathbb{Z}[v, |v| = -2]$ .

We define  $BU \langle 2k \rangle = R\Omega^f(\Sigma^{2k}bu)$ , a group-like  $E_\infty$ -space, then  $bu^{2t}(X) = [X, BU \langle 2t \rangle]$ . When  $t = 0$ , actually we have  $BU \langle 0 \rangle = \mathbb{Z} \times BU$  in  $Ho(Top)$ .

Multiplication by  $v^t : \Sigma^{2t}bu \rightarrow bu$  gives the  $(2t - 1)$ -connective cover of  $bu$ . Under this identification, we get a sequence of morphisms in  $Ho(Top[E_\infty])$  by the infinite loop space machine

$$\dots \rightarrow BU \langle 2k \rangle \rightarrow \dots \rightarrow BU \langle 6 \rangle \rightarrow BSU \rightarrow BU \rightarrow BU \langle 0 \rangle$$

derived from infinite loop space machine.

However, in order to get a Thom spectrum we need an actual over-map instead of a homotopy class of over-map which is what we only have now. The similar problem also appeared in [37]P87.

**Lemma 3.22.** *Let  $Sp$  denote the  $\infty$ -category of spectra, then the inclusions  $Sp_{\geq n} \subset Sp_{\geq 0}$ ,  $n \geq 0$  and  $Sp_{\geq 0} \subset Sp$  are coreflective subcategories, which means the inclusion admits a left adjunction.*

*Proof:* It is a direct conclusion from the canonical  $t$ -structure on  $Sp$ .

□

**Remark 3.23.** *The 3.17 actually gives an equivalence between the  $\infty$ -category of connective*

spectra and the  $\infty$ -category of group-like  $E_\infty$ -spaces.

$$\mathcal{S}[E_\infty]^{gl} \xrightarrow{\sim} Sp_{\geq 0}$$

This equivalence can be produced easier in  $\infty$ -categories by the fact that  $Sp_{\geq 0}$  is an additive  $\infty$ -category and the reflective adjunctions

$$\mathrm{Pr}^L \Leftrightarrow \mathrm{Pr}_{Add}^L \Leftrightarrow \mathrm{Pr}_{st}^L$$

make  $\mathcal{S}[E_\infty]^{gl}$  universal among all additive  $\infty$ -categories. So we have the following unique induced functor which is an equivalence.

$$\begin{array}{ccc} & \mathcal{S} & \\ & \swarrow & \searrow^{\Sigma_+^\infty} \\ \mathcal{S}[E_\infty]^{gl} & \overset{\sim}{\dashrightarrow} & Sp_{\geq 0} \end{array}$$

**Corollary 3.24.** (1) By the infinite loop space machine, for any  $n \geq 0$  the  $\infty$ -category of  $(n-1)$ -connective group-like  $E_\infty$ -spaces  $\mathcal{S}[E_\infty]_{\geq n}^{gl} \subset \mathcal{S}[E_\infty]^{gl}$  is a coreflective subcategory.

(2) Given an  $(n-1)$ -connective covering  $X_n \rightarrow X$  of group-like  $E_\infty$ -spaces,  $Y \in \mathcal{S}[E_\infty]_{\geq n}^{gl}$  and an arrow  $f : Y \rightarrow X$ , then  $\mathrm{Map}_{\mathcal{S}[E_\infty]_{/X}^{gl}}(Y, X_n)$  is contractible.

*proof of (2):* It follows from the following homotopy pullback diagram of spaces.

$$\begin{array}{ccc} \mathrm{Map}_{\mathcal{S}[E_\infty]_{/X}^{gl}}(Y, X_n) & \longrightarrow & \mathrm{Map}_{\mathcal{S}[E_\infty]^{gl}}(Y, X_n) \\ \downarrow & & \downarrow \sim \\ * & \xrightarrow{\{f\}} & \mathrm{Map}_{\mathcal{S}[E_\infty]^{gl}}(Y, X) \end{array}$$

The corollary illustrates the  $n$ -connective cover of a group like  $E_\infty$ -space is up to contractible choices.

**Proposition 3.25.** By the contractibility above, we get for any group-like  $E_\infty$ -space  $X$  the full sub  $\infty$ -category  $\mathrm{Cov}_n(X) \subset \mathcal{S}[E_\infty]_{/X}^{gl}$  is a contractible Kan complex.

**Theorem 3.26** ( $E_\infty$  structure of  $MO \langle n \rangle$  and  $MU \langle 2k \rangle$ ).

By proposition above, we get the contractibility of choices for  $BO \langle n \rangle$  and  $BU \langle 2k \rangle$  when we take  $X = BO$  and  $X = BU$  respectively. Moreover, there is a following homotopy diagram

in  $h(\mathcal{S}[E_\infty]_{/BO}^{gl})$  determined by the canonical  $E_\infty$  map  $BU \rightarrow BO$ .

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & BU \langle 6 \rangle & \longrightarrow & BSU = BU \langle 4 \rangle & \longrightarrow & BU \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \searrow \\
 \dots & \longrightarrow & Bstring = BO \langle 6 \rangle = BO \langle 8 \rangle & \longrightarrow & BSpin = BO \langle 4 \rangle & \longrightarrow & BSO \longrightarrow BO
 \end{array}$$

Taking the  $E_\infty$  Thom spectrum functor 3.10 over  $BO$ , we get the following homotopy diagram in  $h(Sp[E_\infty])$ .

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & MU \langle 6 \rangle & \longrightarrow & MSU = MU \langle 4 \rangle & \longrightarrow & MU \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \searrow \\
 \dots & \longrightarrow & Mstring = MO \langle 6 \rangle = MO \langle 8 \rangle & \longrightarrow & MSpin = MO \langle 4 \rangle & \longrightarrow & MSO \longrightarrow MO
 \end{array}$$

---

## 4. $\sigma$ -orientation

We know that any commutative ring spectrum  $E$  with  $E_{odd} = 0$  (actually  $E_{2n+1} = 0$  for every  $n \geq 1$  suffices) is complex orientable. So any elliptic cohomology theory is complex orientable. However we can not find a canonical complex orientation on an elliptic cohomology theory without extra data.

But this can be done when comes to  $MU \langle 6 \rangle$ -orientation of an elliptic cohomology theory. The main result in [3] is that  $MU \langle 6 \rangle$ -orientations of an EWP(2.27) ring spectrum  $E$  coincides with cubical structures of the bundle  $\mathcal{I}(0)$  on  $\mathrm{Spf}(E^0CP^\infty)$ .

**Remark 4.1.** *Throughout the whole section 4,  $E$  is denoted as an EWP commutative ring phantom-spectrum. Here we use ring phantom-spectrum because by localizing a ring (phantom-)spectrum we can only get a phantom spectrum: for any EWP commutative ring phantom-spectrum  $E$  and  $f \in E_0$ , the homology theory  $E[f^{-1}]_*(-) = E_*[f^{-1}] \otimes_{E_*} E_*(-)$  induces a commutative ring phantom-spectrum  $E[f^{-1}]$ .*

### 4.1 n-cocycles

**Definition 4.2.** *Let  $C$  be a category admitting finite products. If  $A$  and  $T$  are commutative monoid objects in  $\mathcal{CMon}(C)$ , we define  $C^0(A, T)$  to be the set*

$$C^0(A, T) \stackrel{\text{def}}{=} \mathrm{Hom}_C(A, T)$$

and for  $k \geq 1$  we let  $C^k(A, T)$  be the submonoid of  $f \in \mathrm{Hom}_C(A^k, T)$  such that

- (a)  $f(a_1, \dots) = 0$  when one of  $\{a_i\}$  is zero ;
- (b)  $f(a_1, \dots)$  is a symmetric function ;
- (c)  $f$  satisfies the cocycle condition, that is,

$$f(a_1, a_2, a_3, \dots) + f(a_0, a_1 + a_2, a_3, \dots) = f(a_0 + a_1, a_2, a_3, \dots) + f(a_0, a_1, a_3, \dots)$$

when  $k \geq 2$ .

**Remark 4.3.** (1) *The  $C^n(A, T)$  is commutative monoid set induced by  $T$ .*

(2) *If  $T$  is an abelian group object, then in definition (a) can be replaced by (a)':  $f(0, 0, \dots, 0) = 0$ .*

**Definition 4.4.** *In the case where  $G$  and  $T$  are abelian group objects, and for  $k \geq 0$  with*

$f \in C^k(G, T)$ , the transformation  $\delta(f) \in C^{k+1}(G, T)$  is defined as follows: for  $k \geq 1$ , the map is determined by  $\delta(f)(a_0, \dots) = f(a_0, a_2, \dots) + f(a_1, a_2, \dots) - f(a_0 + a_1, a_2, \dots)$ .

In the special case where  $k = 0$ , the map is specified as  $\delta(f)(a) = f(0) - f(a)$ .

**Definition 4.5** (Sheafification). *From definition we can make  $n$ -cocycles a sheaf as the following: let  $X, Y$  are commutative monoid fppf sheaves over  $S$ , we define  $\underline{C}^k(X, Y)(T) = C^k(X_T, Y_T)$ . It is actually a representable commutative monoid sheaf in  $Sh(Sch/S)_{fppf}$  in certain case [21].*

## 4.2 Even spaces

Before into the topology cocycle, we introduce a useful concept.

**Definition 4.6.** (1) We say a space  $X$  to be “even” iff  $H_*(X)$  is concentrated in even degrees and  $H_n(X)$  is free abelian for all  $n$ .

(2) An  $H$ -space means a monoid object in  $Ho(Top)$ .

**Lemma 4.7** ([14]4C.1). *If  $X$  is even and simply-connected, then there exists a CW approximation  $W \rightarrow X$  so that  $W$  only consists of cells of even dimension.*

**Proposition 4.8.** *Let  $E$  be an EWP commutative ring phantom-spectrum. Then for any even space  $X$ ,*

(1) *The  $A$ - $T$  spectral sequence  $H_*(X; E_*) \implies E_*(X)$  collapses. Therefore  $E_*(X)$  is a free  $E_*$ -module and  $E^*(X) \rightarrow Hom_{E_*}^*(E_*X, E_*)$  is bijective.*

(2) *The  $E_0(X)$  is a cocommutative  $E_0$ -coalgebra by kunneth theorem. Furthermore, If  $X$  is an even  $H$ -space, we define  $X_E = Spf E^0 X$ , then the natural Cartier morphism  $Spec E_0 X \rightarrow \underline{Hom}_{Grp/E}(X_E, \mathbb{G}_{m,E})$  is isomorphic, which is the Cartier duality.*

**Definition 4.9.** *To begin, the map  $\rho_0 : \mathbb{C}P^\infty \rightarrow 1 \times BU \subset \mathbb{Z} \times BU = BU\langle 0 \rangle$  is initially defined as the mapping that classifies the tautological line bundle  $L$  [15].*

*For  $t > 0$ , consider  $L_1, \dots, L_t$  as the evident line bundles over  $(\mathbb{C}P^\infty)^t$ . Introduce  $x_i \in ku^2((\mathbb{C}P^\infty)^t)$  as defined by the expression*

$$vx_i = 1 - L_i.$$

*Subsequently, the following isomorphisms hold:*

$$ku^*((\mathbb{C}P^\infty)^t) \cong \mathbb{Z}[v][[x_1, \dots, x_t]]$$

*The element  $\prod_i x_i \in bu^{2t}(P^t)$  yields the map  $\rho_t : (\mathbb{C}P^\infty)^t \rightarrow BU\langle 2t \rangle$ .*

**Remark 4.10.** The composition  $(\mathbb{C}P^\infty)^t \xrightarrow{\rho_t} BU\langle 2t \rangle \rightarrow BU\langle 0 \rangle$  happens to classify the bundle  $\prod_i (1 - L_i)$ .

**Proposition 4.11.** Let  $X$  be an even commutative  $H$ -space, we have the following diagram of commutative monoid sets for any  $k \geq 0$ ,

$$\begin{array}{ccccc}
 C^k(P, X) & \longrightarrow & C_{E_0\text{-CcoAl}}^k(E_0P, E_0X) & \dashrightarrow & \text{Hom}_{\text{Mon}/E}(X^E, \underline{C}^k(P_E, \mathbb{G}_{m,E})) \\
 & & \downarrow & \dashrightarrow & \downarrow \\
 & & \underline{C}^k(P_E, \mathbb{M}_{m,E})(\text{Spec } E_0X) & \longleftarrow & \underline{C}^k(P_E, \mathbb{G}_{m,E})(\text{Spec } E_0X)
 \end{array}$$

where  $P = \mathbb{C}P^\infty$  and  $P_E = \text{Spf } E^0P$ ,  $X^E = \text{Spec } E_0X$ . The dashed liftings exist only when  $k \geq 1$  or  $X$  is an  $H$ -group, and in those 2 cases all sets in the diagram are abelian groups.

**Definition 4.12.** For  $0 \leq t \leq 3$ ,  $BU\langle 2t \rangle$  is an even space [3]. Apply the above to  $\rho_t \in C^t(P, BU\langle 2t \rangle)$ , we get morphisms of commutative group schemes over  $\text{Spec}(E_0)$

$$f_t : \text{Spec } E_0BU\langle 2t \rangle \rightarrow \underline{C}^k(P_E, \mathbb{G}_{m,E}).$$

**Theorem 4.13** (Ando-Hopkins-Strickland [3]). The morphism  $f_k : \text{Spec } E_0BU\langle 2k \rangle \rightarrow \underline{C}^k(P_E, \mathbb{G}_{m,E})$  is an isomorphism of commutative group schemes over  $\text{Spec } E_0$  when  $0 \leq k \leq 3$ .

*Proof: Sketch:* First, we note that the formation of the map

$$f_k : \text{Spec } E_0BU\langle 2k \rangle \rightarrow \underline{C}^k(P_E, \mathbb{G}_{m,E})$$

is preserved under base change. Second, by 4.1, locally on  $\text{Spec } E_0$ , we can assume  $E$  is  $MP$ -orientable. Thus, it suffices to show  $f_k$  is an isomorphism for  $E = MP$ .

In this case, we have a map of graded rings

$$\mathcal{O}_C \rightarrow MP_0BU\langle 2k \rangle = MU_*BU\langle 2k \rangle,$$

both of which are free of finite type over  $\mathbb{Z}$ . This map is a rational isomorphism by some easy calculation, so it must be injective, and the source and target must have the same Poincaré series. It will thus suffice to prove that it is surjective. Recall that  $I$  denotes the kernel of the map

$$MP_0 \rightarrow \mathbb{Z} = HP_0$$

that classifies the additive formal group law, or equivalently, the ideal generated by elements

of strictly positive dimension in  $MU_*$ . By induction on degrees, it will suffice to prove that the map

$$\mathcal{O}_C/I \rightarrow MP_0BU\langle 2k\rangle/I$$

is surjective.

Base change and the Atiyah-Hirzebruch spectral sequence identify this map with the map

$$\mathcal{O}_{\underline{C}^3(\widehat{\mathbb{G}}_a, \mathbb{G}_m)} \rightarrow HP_0BU\langle 2k\rangle,$$

in other words, the case  $E = HP$  of the proposition. This case was proved in Proposition 4.4 (for  $k = 2$ ) or Corollary 4.14 (for  $k = 3$ ) of [3].

□

### 4.3 The Line bundle on a formal group

Now we turn to the connection between n-cocycles for a line bundle and Thom spectrum orientation.

Firstly we need a well-behavior definition of the line bundle on a formal group.

**Definition 4.14.** *Consider  $X \in Sh(Aff)_{zar}$  as a large Zariski sheaf. The category  $QCoh(X)$  is defined as follows:*

*A quasi-coherent sheaf  $\mathcal{F} \in QCoh(X)$  entails the following elements:*

- (a) For each  $(R, x)$  where  $R$  is a commutative ring and  $x \in X(R)$ , we assign an  $R$ -module  $M_x$ .*
- (b) For every map  $f : (R, x) \rightarrow (S, y)$ , there is an isomorphism  $\phi(f, x) : S \otimes_R M_x \rightarrow M_y$  of  $S$ -modules. These isomorphisms  $\phi(f, x)$  must adhere to the functoriality conditions:*
  - (i) For  $f = id : (R, x) \rightarrow (R, x)$ , the requirement is  $\phi(id, x) = id : M_x \rightarrow M_x$ .*
  - (ii) The morphism  $\phi$  satisfies the associative law.*

**Remark 4.15.** *(1) The category  $QCoh(X)$  supports direct sums and tensor products which are defined pointwise.*

*(2) A line bundle is defined to be a quasi-coherent sheaf on  $X$  such that all  $M_x$  is a projective module of rank 1 on  $R$ .*

*(3) It can be checked the definition agrees with the ordinary case when  $X$  is a scheme.*

**Proposition 4.16.** *Let  $X \in Sh(Aff)_{zar}$  be a big Zariski sheaf, then the following statements hold:*



(1) There is a natural equivalence  $p_X : \mathbb{G}_{m,X}\text{-tor} \rightarrow \text{PIC}(X)^\simeq$  between the category of  $\mathbb{G}_{m,X}$ -torsors (on big Zariski site  $\text{Aff}/_X$ ) and the maximal groupoid of the full category  $\text{PIC}(X) \subset \text{QCoh}(X)$  of line bundles.

(2) If  $X = \varprojlim_{I^{\text{op}}} X_i$  is an inverse limit of a filtered diagram  $I$ , then we have following equivalences by homotopy limit (or 2-limit) of categories

(i)  $\text{QCoh}(X) \simeq \varprojlim_{I^{\text{op}}} \text{QCoh}(X_i)$ ;

(ii)  $\mathbb{G}_{m,X}\text{-tor} \simeq \varprojlim_{I^{\text{op}}} \mathbb{G}_{m,X_i}\text{-tor}$  ;

(iii)  $p_X = \varprojlim_{I^{\text{op}}} p_{X_i}$

*Proof:* (1)

Let  $T \in \mathbb{G}_{m,X}\text{-tor}$ , we define  $p_X(T) \in \text{PIC}(X)^\simeq$  by  $p_X(T)(R, x) = \text{Hom}_{\mathbb{G}_{m,R}}(T_R, \mathbb{A}_R^1)$ , the  $\mathbb{G}_{m,R}$ -equivariant morphism, which is a  $R$ -module induced by  $\mathbb{A}_R^1$ .

Conversely, let  $\mathcal{L} \in \text{PIC}(X)^\simeq$ , we define the  $\varphi_X(\mathcal{L}) \in \mathbb{G}_{m,X}\text{-tor}$  by  $\varphi_X(\mathcal{L})(R, x) = \text{Iso}_R(R, \mathcal{L}(R, x))$ , the trivializations of  $\mathcal{L}(R, x)$ . It is not hard to verify  $p_X$  is the inverse of  $\varphi_X$ .

□

A  $\Theta^3$ -structure on a line bundles is called by a cubical structure.

**Definition 4.17.** In this thesis, we denote by  $C^k(G, \mathcal{L})$  the collection of  $\Theta^k$ -structures on  $\mathcal{L}$  over  $G$ . It is important to note that  $C^0(G, \mathcal{L})$  represents the trivializations of  $\mathcal{L}$ , while  $C^1(G, \mathcal{L})$  corresponds to the rigid trivializations of  $\Theta^1(\mathcal{L})$ . Additionally, we introduce an fppf sheaf given by  $\underline{C}^k(G, \mathcal{L})(R) = C^k(G_R, \mathcal{L}_R)$ .

**Remark 4.18.** It is worth mentioning that when considering the trivial line bundle  $\mathcal{O}_G$ , we have that the set  $C^k(G; \mathcal{O}_G)$  simplifies to the group  $C^k(G, \mathbb{G}_m)$  of previously introduced cocycles.

For any pair of line bundles  $\mathcal{L}_1, \mathcal{L}_2$ , there exists a natural map  $C^k(G; \mathcal{L}_1) \times C^k(G; \mathcal{L}_2) \rightarrow C^k(G; \mathcal{L}_1 \otimes \mathcal{L}_2)$  defined by  $(s_1, s_2) \mapsto s_1 \otimes s_2$ . Consequently, when  $\mathcal{L}_1$  is trivial, a natural group action  $C^k(G; \mathbb{G}_m) \times C^k(G; \mathcal{L}) \rightarrow C^k(G; \mathcal{L})$  can be obtained for any line bundle  $\mathcal{L}$ .

**Proposition 4.19.** Furthermore, if  $\mathcal{L}$  is a line bundle over  $G$  where  $G$  is a formal group over  $S$  and where  $\mathcal{L}$  can be trivialized Zariski locally on  $S$  (warning: this is not equivalent to locally trivial on  $G$ ), then the fppf sheaf  $\underline{C}^k(G, \mathcal{L})$  forms a scheme, which is invariant under change of base. Moreover,  $\underline{C}^k(G, \mathcal{L})$  acts as a torsor over  $\underline{C}^k(G, \mathbb{G}_m)$ .

Now return to the topology.

**Definition 4.20.** Let  $X$  be a finite even complex and  $V$  be a virtual complex vector bundle classified by a map  $X \rightarrow Z \times BU$ . We denote the Thom spectrum of  $X^V$ . The coaction of the Thom spectrum results in  $E^0 X^V$  being an  $E^0 X$ -module, which, by Thom isomorphism Zariski locally, can be further understood as a line bundle.

**Proposition 4.21.** In the master thesis, the proposition labeled as 4.21 discusses the scenario where  $X$  is a finite complex and  $V$  is a virtual bundle over  $X$ . The notation  $\mathbb{L}(V)$  is used to denote the line bundle  $\widetilde{E^0 X^V}$ , and  $\mathbb{L}$  establishes a functor from vector bundles over  $X$  to line bundles on  $X_E$ . The proposition outlines the following key points:

- (i) The functor  $\mathbb{L}(-)$  takes the direct sum into the tensor product of line bundles on  $X_E$ .
- (ii) Additionally, let  $f : Y \rightarrow X$  be a continuous map, then a natural isomorphism  $f^* \mathbb{L}(-) \cong \mathbb{L}(f^*(-))$  of line bundles over  $Y_E$  is established.

In the case where  $X$  is an (infinite) even complex and  $V$  is a virtual bundle classified by  $f : X \rightarrow BU\langle 0 \rangle$ ,  $\mathbb{L}(V)$  is considered a quasi-coherent sheaf on  $\mathrm{Spf} E^0 X$  through (co)limits. It is emphasized that the proposition mentioned earlier is applicable even for infinite even complexes.

**Lemma 4.22.** If  $T(\rho_0) = \Sigma^\infty \mathrm{Th}(\mathcal{L})$  denotes the Thom spectrum associated with  $\rho_0 : P \rightarrow Z \times BU$  by the tautological bundle  $\mathcal{L}$ , then the Thom sheaf  $E^0 T(\rho_0)$  is naturally isomorphic to  $\mathcal{I}(0) = \ker(E^0 P \rightarrow E^0)$  in  $Q\mathrm{coh}(P_E)$ . This isomorphism is induced by a homotopy equivalence of  $P_+$ -comodule pointed spaces  $P \rightarrow \mathrm{Th}(\mathcal{L})$ .

*Proof:* We can see the equivalence  $P \rightarrow \mathrm{Th}(\mathcal{L})$  preserved the  $P_+$ -comodule action by the following diagram.

$$\begin{array}{ccc}
 P & \xrightarrow{\Delta} & P \times P \\
 \begin{array}{c} \uparrow p \\ \Downarrow s \end{array} & & \begin{array}{c} p \times id \\ \Downarrow s \times id \end{array} \\
 D(EU_1) & \xrightarrow{(id, p)} & D(EU_1) \times P \\
 \downarrow & & \downarrow \\
 \mathrm{Th}(\mathcal{L}) & \longrightarrow & \mathrm{Th}(\mathcal{L}) \wedge P_+
 \end{array}$$

□

**Proposition 4.23.** The section  $s_k$  is a  $\Theta^k$ -structure, and hence an element of

$$\underline{C}^k(P_E; \mathcal{I}(0))(MU\langle 2k \rangle^E)$$

*Proof:* Firstly we have an isomorphism  $BU\langle 2k \rangle^E \cong \underline{C}^k(P_E, \mathbb{G}_m)$ , which imparts the structure of a torsor over the group scheme  $BU\langle 2k \rangle^E$  to  $\underline{C}^k(P_E; \mathcal{I}(0))$  when  $k \leq 3$ . The

equivariant morphism between torsors is automatically deemed an isomorphism, as observed in the case of  $g_k$ .

□

**Proposition 4.24.** *The following diagram is commutative when  $0 \leq k \leq 3$*

$$\begin{array}{ccc} BU\langle 2k \rangle^E \times MU\langle 2k \rangle^E & \longrightarrow & \underline{C}^k(P_E; \mathbb{G}_{m,E}) \times \underline{C}^k(P_E; \mathcal{I}(0)) \\ \downarrow & & \downarrow \\ MU\langle 2k \rangle^E & \longrightarrow & \underline{C}^k(P_E; \mathcal{I}(0)) \end{array}$$

which is concluded by the following naturality of coactions on Thom spectra

$$\begin{array}{ccc} (P^k)^V & \longrightarrow & P_+^k \wedge (P^k)^V \\ \downarrow & & \downarrow \\ MU\langle 2k \rangle & \longrightarrow & BU\langle 2k \rangle_+ \wedge MU\langle 2k \rangle \end{array}$$

**Theorem 4.25** (Ando-Hopkins-Strickland). *The morphism  $MU\langle 2k \rangle^E \xrightarrow{g_k} \underline{C}^k(P_E; \mathcal{I}(0))$  is an isomorphism of  $BU\langle 2k \rangle^E$ -torsors when  $0 \leq k \leq 3$ .*

*Proof:* Since any morphism of torsors is an isomorphism, it follows from 4.24.

□

Since  $MU\langle 2k \rangle$  is a bounded-below even spectrum when  $k \leq 3$ , we have natural isomorphisms

$$[MU\langle 2k \rangle, E] = E^0(MU\langle 2k \rangle) \rightarrow \text{Hom}_{E_*}(E_*MU\langle 2k \rangle, E_*) = \text{Hom}_{E_0}(E_0MU\langle 2k \rangle, E_0)$$

and

$$[MU\langle 2k \rangle, E]_{ring} = \text{Hom}_{E_0\text{-Al}}(E_0MU\langle 2k \rangle, E_0) = MU\langle 2k \rangle^E(S^E).$$

**Corollary 4.26** (Orientations correspond  $\Theta^k$ -structures). *When  $k \leq 3$ , the isomorphism  $g_k$  induces a bijection*

$$[MU\langle 2k \rangle, E]_{ring} \rightarrow C^k(P_E; \mathcal{I}(0))(S^E).$$

By the corollary above we can state our first main theorem as follows.

**Theorem 4.27** (Main A). *Let  $E \rightarrow F$  be a ring (phantom-)morphism between EWP ring (phantom-)spectra, and  $MU\langle 2k \rangle \rightarrow E$  and  $MU\langle 2k \rangle \rightarrow F$  be two orientations. Then*

$$\begin{array}{ccc} & MU\langle 2k \rangle & \\ & \swarrow & \searrow \\ E & \xrightarrow{\quad} & F \end{array}$$

commutes if and only if

$$\begin{array}{ccc} S^F & \longrightarrow & S^E \\ \downarrow & & \downarrow \\ MU\langle 2k \rangle^F & \longrightarrow & MU\langle 2k \rangle^E \end{array}$$

commutes for the corresponding sections.

#### 4.4 Cubical structure on elliptic curves

In 4.4, we will see any elliptic cohomology theory has a unique  $MU\langle 6 \rangle$ -orientation.

**Lemma 4.28** (Theorem of the cube [8]). *Let  $X \rightarrow S$  be an abelian scheme over  $S$ . Then for any  $\mathcal{L} \in \text{Pic}(X)$ , the  $\Theta^3(\mathcal{L}) \cong p^*\mathcal{M}$  for some  $\mathcal{M} \in \text{Pic}(S)$  where  $p$  denote the projection  $X_S \times X_S \times_S X \rightarrow S$ .*

*Furthermore,  $\mathcal{O}_S \cong e^*\Theta^3(\mathcal{L})$  is naturally rigidificated, so  $\mathcal{M} \cong e^*p^*\mathcal{M} \cong e^*\Theta^3(\mathcal{L}) \cong \mathcal{O}_S$  is trivial, and hence  $\Theta^3(\mathcal{L})$  is also trivial.*

**Lemma 4.29.** *Let  $p : X \rightarrow S$  be a proper smooth morphism with geometrically connected fibers, then*

(i) [38]28.1H: *The natural  $\mathcal{O}_S \rightarrow p_*\mathcal{O}_X$  is isomorphic;*

(ii) *Let  $e : S \rightarrow X$  be a section, and let  $\mathcal{L}_1, \mathcal{L}_2$  be trivializable line bundles on  $X$ , then*

$$\text{Hom}_{\mathcal{O}_X}(\mathcal{L}_1, \mathcal{L}_2) \rightarrow \text{Hom}_{\mathcal{O}_S}(e^*\mathcal{L}_1, e^*\mathcal{L}_2)$$

*is bijective.*

**Theorem 4.30** (Unique cubical structure for abelian schemes). *Let  $p : X \rightarrow S$  be an abelian scheme over  $S$ . Then for any  $\mathcal{L} \in \text{Pic}(X)$ , there exists exactly one  $\Theta^3$ -structure on  $\mathcal{L}$ .*

Proof: Since  $\text{Hom}_{\mathcal{O}_{X^3}}(\mathcal{O}_{X^3}, \Theta^3(\mathcal{L})) \rightarrow \text{Hom}_{\mathcal{O}_S}(\mathcal{O}_S, e^*\Theta^3(\mathcal{L}))$  is bijective by lemma above.

The natural rigidification  $\mathcal{O}_S \xrightarrow{1} e^*\Theta^3(\mathcal{L})$  determines unique trivialization  $u : \mathcal{O}_{X^3} \rightarrow \Theta^3(\mathcal{L})$ .

Recall the axioms of cubical structures:

(i)  $s(0) = 1$ ;

(ii)  $s(a_{\sigma_1}, a_{\sigma_2}, a_{\sigma_3}) = s(a_1, a_2, a_3)$  is symmetric for any  $\sigma \in \Sigma_3$  ;

(iii) the section  $s(a_1, a_2, a_3) \otimes s(a_0 + a_1, a_2, a_3)^{-1} \otimes s(a_0, a_1 + a_2, a_3) \otimes s(a_0, a_1, a_3)^{-1} = 1$ .

However, all conditions automatically hold for  $u$  by  $u(0) = 1$  when we pullback to  $S$  along  $e$ , which means  $u$  is exactly the unique cubical structure.

Now we can state the main theorem of this paper.

**Theorem 4.31** (Main B).

- (i) For any elliptic cohomology theories  $E$  we have natural  $\sigma$ -orientation  $MU\langle 6 \rangle \rightarrow E$ .
- (ii) The  $\sigma$ -orientation commutes for any elliptic morphism of elliptic cohomology theories  $E \rightarrow F$  induced by a morphism  $C_1 \rightarrow C_2$  of elliptic curves.

$$\begin{array}{ccc}
 & MU\langle 2k \rangle & \\
 & \swarrow \quad \searrow & \\
 E & \xrightarrow{\quad} & F
 \end{array}$$

commutes by

$$\begin{array}{ccccccc}
 MU\langle 6 \rangle^F & \xrightarrow{\cong} & \underline{C}^3(P_F; \mathcal{I}(0)) & \longleftarrow & \underline{C}^3(C_1; \mathcal{I}(0)) & \xleftarrow{\cong} & S^F \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 MU\langle 6 \rangle^E & \xrightarrow{\cong} & \underline{C}^3(P_E; \mathcal{I}(0)) & \longleftarrow & \underline{C}^3(C_2; \mathcal{I}(0)) & \xleftarrow{\cong} & S^E
 \end{array}$$

*Proof:* Combining 4.27 and 4.30 we obtain the result.

□

## 4.5 Further developments

When comes to  $\mathbb{E}_\infty$ -orientation space  $\text{Or}_{\mathbb{E}_\infty}(Mf, R) = \text{Map}_{\text{CAlg}(Sp)}(Mf, R)$ , combining the Thom adjunction  $\text{Mon}_{\mathbb{E}_\infty}(\mathcal{S})_{/\text{Pic}(Sp)} \xrightleftharpoons{M(-)} \text{CAlg}(Sp)$  and the infinite loop space machine  $\text{Mon}_{\mathbb{E}_\infty}^{gp}(\mathcal{S}) \simeq Sp_{\geq 0}$  we can produce many interesting results.

$$\begin{array}{ccccccc}
 Sp_{\geq 0} & \xrightarrow{\sim} & \text{Mon}_{\mathbb{E}_\infty}^{gp}(\mathcal{S}) & \xrightleftharpoons[GL_1]{} & \text{Mon}_{\mathbb{E}_\infty}(\mathcal{S}) & \xrightleftharpoons[\Omega^\infty]{\Sigma_+^\infty} & \text{CAlg}(Sp) \\
 & & & & \searrow & \swarrow & \\
 & & & & & & \text{gl}_1
 \end{array}$$

By this adjunction we can get the following theorem.

**Theorem 4.32** (Ando–Blumberg–Gepner–Hopkins–Rezk [1]). *Let  $Mf$  be the Thom  $\mathbb{E}_\infty$ -spectrum induced by a map  $f : X \rightarrow \text{pic}(Sp)$  in  $Sp_{\geq 0}$  and let  $R$  be an  $\mathbb{E}_\infty$ -ring. Then  $\text{Or}_{\mathbb{E}_\infty}(Mf, R)$  is a torsor over the  $H$ -space  $\text{Map}_{Sp}(X, \text{gl}_1(R))$ , meaning  $\text{Or}_{\mathbb{E}_\infty}(Mf, R)$  is either empty or homotopy equivalent to  $\text{Map}_{Sp}(X, \text{gl}_1(R))$ .*

**Example 4.33.** *Particularly, combining with the Chromatic Nullstellensatz [7] and some further calculations, we can deduce that for any height  $n > 0$ , the  $\text{Or}_{\mathbb{E}_\infty}(MUP, E(\overline{\mathbb{F}}_p))$  is non-empty and hence homotopy equivalent to  $\text{Map}_{Sp}(ku, \text{gl}_1(E(\overline{\mathbb{F}}_p)))$ .*

**Example 4.34.** *The following genera for  $MSO_*$  are also examples which can be taken*

consideration by orientation theory.

1. *L-genus*

$$\log_{\text{Sign}}(x) = \sum_{n \geq 1} \frac{x^{2n+1}}{2n+1}$$

2.  *$\widehat{A}$ -genus*

$$\exp_{\widehat{A}}(u) = 2 \sinh(u/2)$$

3. *Ochanine genus*

$$\log_{\text{Och}}(x) = \int_0^x \frac{dt}{\sqrt{1 - 2\delta t^2 + \epsilon t^4}}$$

4. *Witten genus*

$$\frac{u}{\exp_{\text{Wit}}(u)} = \frac{u/2}{\sinh(u/2)} \prod_{n=1}^{\infty} \frac{(1 - q^n)^2}{(1 - q^n e^u)(1 - q^n e^{-u})}$$

5. *Witten signature*

$$\frac{u}{\exp_{\text{WSig}}(u)} = \frac{u/2}{\tanh(u/2)} \prod_{n=1}^{\infty} \left( \frac{1 + q^n e^u}{1 - q^n e^u} \cdot \frac{1 + q^n e^{-u}}{1 - q^n e^{-u}} \right) / \left( \frac{1 + q^n}{1 - q^n} \right)^2$$

**Proposition 4.35.** *Cobordism spectra From the commutative diagram in  $Ho(\text{Top}[E_\infty]_{/BO})$*

$$\begin{array}{ccccccc} BU\langle 6 \rangle & \longrightarrow & BSU & \longrightarrow & BU & & \\ \downarrow & & \downarrow & & \downarrow & \searrow & \\ BString & \longrightarrow & BSpin & \longrightarrow & BSO & \longrightarrow & BO \end{array}$$

we get a natural commutative diagram in  $Ho(E_\infty\text{-Sp})$  by applying Thom spectrum functor

$$\begin{array}{ccccccc} MU\langle 6 \rangle & \longrightarrow & MSU & \longrightarrow & MU & & \\ \downarrow & & \downarrow & & \downarrow & \searrow & \\ MString & \longrightarrow & MSpin & \longrightarrow & MSO & \longrightarrow & MO \end{array}$$

**Theorem 4.36.** *Ando–Hopkins–Strickland 2001 [3]*

The  $[MU\langle 6 \rangle, E]_{\text{Cal}(hSp)} \xrightarrow{\cong} C^3(P_E; \mathcal{I}(0))$  can induces a bijection of subsets

$$[MString, E]_{\text{Cal}(hSp)} \xrightarrow{\cong} C_{is}^3(P_E; \mathcal{I}(0)) = \{f \in C^3(P_E; \mathcal{I}(0)) \mid f(a, b, -(a+b)) = 1\}$$

if  $1/2 \in E_0$ . So in the case 2 invertible, the  $\sigma$ -orientation of an elliptic cohomology theory has a (unique) factorization of homotopy ring spectra

$$\begin{array}{ccc} MU\langle 6 \rangle & \longrightarrow & MString \\ & \searrow & \swarrow \text{---} \\ & & E \end{array}$$

which corresponds with the Witten genus.

**Theorem 4.37** (Ando–Hopkins–Strickland 2004 [4]).

The  $\sigma$ -orientation  $MU\langle 6 \rangle \rightarrow E$  is an  $H_\infty$ -map.

**Theorem 4.38** (Ando–Hopkins–Rezk 2010 [2]).

1. There exist, up to homotopy, unique  $E_\infty$ -ring maps

$$\sigma_L, \sigma_{\hat{A}} : \mathrm{MSpin} \longrightarrow KO$$

refining the  $L$ -genus and  $\hat{A}$ -genus.

2. The  $\sigma$ -orientation  $MU\langle 6 \rangle \rightarrow E$  is an  $E_\infty$ -map, which can be refined to be an  $E_\infty$  map  $MString \rightarrow tmf$ , a string orientation to global section of the  $E_\infty$ -sheaf of moduli stack of elliptic curves.

**Theorem 4.39** (Dylan Wilson 2018 [40]).

There exist, up to homotopy, unique  $E_\infty$ -ring maps

$$\sigma_{\mathrm{Och}}, \sigma_{\mathrm{WSig}} : \mathrm{MSpin} \longrightarrow tmf_0(2)$$

refining the Ochanine genus and Witten signature

### The road ahead

- (1) Could we generalize the  $\sigma$ -orientation to the PEL-type abelian varieties or even further refine it to be a  $\mathbb{E}_\infty$  morphism  $MU\langle 6 \rangle \rightarrow TAF$ ?
- (2) The geometric interpretation of higher Thom spectra  $MU\langle 2k \rangle$ ,  $MO\langle 2k \rangle$  and their  $\mathbb{E}_\infty$ -orientations?
- (3) Are there higher viewpoints in Spectral Algebraic geometry?

## Conclusion

Main contributions:

1. We provide a bigger category of fppf sheaves than the category of schemes. It can contain schemes, formal schemes and  $p$ -divisible groups.
2. We provide a precise definition of formal Lie groups and formal Lie varieties in the framework of fppf sheaves. So we can seriously consider the quasi-coherent sheaves on them.
3. We functorialize the construction of Thom spectra and make it become a left Quillen adjoint. In this point of view, we can easily gain the desired  $\mathbb{E}_\infty$ -structure of a Thom spectrum.
4. We give a statement that the Thom isomorphism actually comes from a diagonal comodule structure.
5. Combining the Thom spectrum functor with the infinite loop space machine, we can endow a canonical  $\mathbb{E}_\infty$ -structure to the  $n$ -connective cover of an  $\mathbb{E}_\infty$ -space.
6. Combining all of the above, we can get the most important theorem in this paper, that is, the correspondence between cubical structures and  $MU\langle 6 \rangle$ -orientations.



## 参考文献

- [1] Matthew Ando, Andrew J Blumberg, David Gepner, Michael J Hopkins, and Charles Rezk. Units of ring spectra, orientations, and thom spectra via rigid infinite loop space theory. *Journal of Topology*, 7(4):1077–1117, 2014. 3.17, 4.32
- [2] Matthew Ando, Michael J. Hopkins, and Charles Rezk. Multiplicative orientations of ko-theory and of the spectrum of topological modular forms. 2006, unpublished. 4.38
- [3] Matthew Ando, Michael J Hopkins, and Neil P Strickland. Elliptic spectra, the witten genus and the theorem of the cube. *Inventiones Mathematicae*, 146(3):595, 2001. 4, 4.12, 4.13, 4.2, 4.36
- [4] Matthew Ando, Michael J. Hopkins, and Neil P. Strickland. The sigma orientation is an h-infinity map, 2004. 4.37
- [5] Bhargav Bhatt. Math 731: Topics in algebraic geometry i–abelian varieties. *Notes by Matt Stevenson*, 2017. 1.3
- [6] Andrew J Blumberg. Topological hochschild homology of thom spectra which are  $e\infty$ -ring spectra. *Journal of Topology*, 3(3):535–560, 2010. 3.2
- [7] Robert Burklund, Tomer M. Schlank, and Allen Yuan. The chromatic nullstellensatz, 2022. 4.33
- [8] Bas Edixhoven, Gerard Van der Geer, and Ben Moonen. Abelian varieties. *Preprint*, page 331, 2012. 1.2, 4.28
- [9] AD Elmendorf, I Kriz, MA Mandell, JP May, and JPC Greenlees. Rings, modules, and algebras in stable homotopy theory. *Bulletin of the London Mathematical Society*, 31(150):367–369, 1999. 3.10
- [10] Gabriel and Demazure. *Groupes algébriques*. Springer, 1970. 2.35, 3.1
- [11] L Gaunce Jr, J Peter May, Mark Steinberger, et al. *Equivariant stable homotopy theory*, volume 1213. Springer, 2006. 3.1, 3.2, 3.13
- [12] Ulrich Görtz and Torsten Wedhorn. *Algebraic Geometry I: Schemes*. Springer, 2010. 1.2
- [13] Robin Hartshorne. *Algebraic geometry*, volume 52. Springer Science & Business Media, 2013. 1.2

- [14] Allen Hatcher. *Algebraic topology*. 清华大学出版社有限公司, 2005. 4.7
- [15] Peter S. Landweber. Homological properties of comodules over  $\mu * (\mu)$  and  $\text{bp} * (\text{bp})$ . *American Journal of Mathematics*, 98:591, 1976. 4.9
- [16] Peter S Landweber. Elliptic genera: An introductory overview. In *Elliptic Curves and Modular Forms in Algebraic Topology: Proceedings of a Conference held at the Institute for Advanced Study Princeton, Sept. 15–17, 1986*, pages 1–10. Springer, 2006. (document)
- [17] Peter S Landweber and Peter S Landweber. *Elliptic curves and modular forms in algebraic topology*, volume 1326. Springer, 1988. (document)
- [18] Peter S Landweber, Douglas C Ravenel, and Robert E Stong. Periodic cohomology theories defined by elliptic curves. *Contemporary Mathematics*, 181:317–317, 1995. (document)
- [19] Qing Liu et al. *Algebraic geometry and arithmetic curves*, volume 6. Oxford University Press on Demand, 2002. 1.2
- [20] J. Lurie. *Kerodon*. version 2023.04.24. 1.1
- [21] Jacob Lurie. *Higher topos theory*. Princeton University Press, 2009. 4.5
- [22] J Peter May.  *$E_\infty$  ring spaces and  $E_\infty$  ring spectra*. 1977. 3.5
- [23] J Peter May and Robert Thomason. The uniqueness of infinite loop space machines. *Topology*, 17(3):205–224, 1978. 3.18
- [24] JP May. What precisely are  $e_\infty$  ring spaces and  $e_\infty$  ring spectra? *Geometry & Topology Monographs*, 16:215–282, 2009. 3.20
- [25] Lennart Meier. From elliptic genera to topological modular forms. 2022. 1.2
- [26] William Messing. The crystals associated to barsotti-tate groups. *The crystals associated to Barsotti-Tate groups: with applications to abelian schemes*, pages 112–149, 2006. 1.3, 1.3, 2.20
- [27] Michel and Grothendieck. *Séminaire de Géométrie Algébrique du Bois Marie*, volume 529. Berlin; New York: Springer-Verlag, 1970. 3.4
- [28] David Mumford, Chidambaran Padmanabhan Ramanujam, and Jurij Ivanovič Manin. *Abelian varieties*, volume 3. Oxford university press Oxford, 1974. 1.2

- [29] Jürgen Neukirch. *Algebraic number theory*, volume 322. Springer Science & Business Media, 2013. 1.3
- [30] Martin Olsson. *Algebraic spaces and stacks*, volume 62. American Mathematical Soc., 2016. 1.15, 3.4
- [31] Martin Olsson. *Algebraic spaces and stacks*, volume 62. American Mathematical Soc., 2016. 3.1
- [32] Daniel Quillen. On the formal group laws of unoriented and complex cobordism theory. 1969. (document)
- [33] Douglas C Ravenel. Quillen’s work on formal group laws and complex cobordism theory. *Journal of K-Theory*, 11(3):493–506, 2013. (document)
- [34] Luis Ribes and Pavel Zalesskii. *Profinite groups*. Springer, 2000. 1.3
- [35] The Stacks Project Authors. *Stacks Project*. 2018. 1, 1.1, 2.8, 2.12, 2.22
- [36] Jakob Stix. A course on finite flat group schemes and p-divisible groups. *preprint*, 2009. 3.4
- [37] Neil P Strickland. Formal schemes and formal groups. *Contemporary Mathematics*, 239:263–352, 1999. 2.1, 2.3, 3.5
- [38] Ravi Vakil. The rising sea: Foundations of algebraic geometry. *preprint*, 2017. 4.29
- [39] William Waterhouse. Basically bounded functors and flat sheaves. *Pacific Journal of Mathematics*, 57(2):597–610, 1975. 3.1
- [40] Dylan Wilson. Orientations and topological modular forms with level structure, 2015. 4.39

## Acknowledgment

我想要衷心地感谢很多人，感谢他们过去对我的支持。首先，我要感谢我的导师朱一飞建议我学习定向理论并耐心地指导我所涉及的数学。他总是有足够的时间来指导我的学习，并与我分享他的知识和专长。也感谢南方科技大学数学系的同学们对我学术交流的付出和时间。最后，我要感谢我的家人和朋友一直以来对我的鼓励。

There are many people to whom I owe a debt of thanks for their support over the last 2 years. First, I would like to sincerely acknowledge my supervisor Yifei Zhu for suggesting my study on elliptic cohomology theories and patiently guiding me through the mathematics involved. He always found adequate time to oversee my studies and to share his knowledge and expertise with me. I would like to thank the members in my research group in SUSTech for their dedication and time in academic exchanges with me. Finally, I would like to thank my family and friends for their encouragement.

## **Resume and academic achievements**

Liang Jiacheng was born in 2000, in Liuzhou, Guangxi, China.

Homepage: <https://552jc.github.io/ljc552.github.io/>

In September 2018, he was admitted to Sichuan University. In June 2022, he obtained a bachelor' s degree in science from the Department of Physics, Sichuan University.

From September 2022, he started to pursue his master degree of science in Mathematics in the Department of Mathematics, SUSTech.